REQUEST FOR PRE-QUALIFICATION

OF DESIGN-BUILD ENTITIES

FOR

A 400 DESIGN-BUILD PROJECT

ON

IRVINE VALLEY COLLEGE CAMPUS

SOCCCD PROJECT NO. 307

August 01, 2012
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FOR

BUILDING A 400 DESIGN-BUILD PROJECT

PROJECT NO. 307

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Notice Calling for Pre-Qualification Submittals

District: SOUTH ORANGE COUNTY COMMUNITY COLLEGE DISTRICT
Project: PROJECT/BID NO. 307
    RFQ for Design Build Entity
    Irvine Valley College A400 Building

RFQ Submittal Deadline: 2:00 P.M., August 22, 2012
Mailing Address & Place of Bid Receipt:
South Orange County Community College District
Health Sciences Building, 3rd Floor, Room HS-357
Purchasing & Facilities Planning Department
28000 Marguerite Parkway
Mission Viejo, CA 92692

NOTICE IS HEREBY GIVEN that the South Orange County Community College District, of Orange County, California, acting by and through its Governing Board, hereinafter referred to as "DISTRICT," will receive up to, but not later than, the above-stated time, responses to the Request for Qualifications for the above Project.

Complete Request for Qualifications documents including the Pre-Qualification Questionnaire will be available at the Office of the Executive Director of Facilities Planning and Purchasing department at the above address, telephone (949) 582-4678 or on-line at socccd.edu at the bid tab beginning August 1, 2012.

Project description: Renovate approximately 12,000 SF and expand approximately 12,000 SF using design build delivery authorized by Education Code Section 81700 et seq. with construction value estimated at $8.2 million.

Time is of the essence. Failure to complete the work within the time set forth will result in rejection of the submittal. Design build Entities, as defined by Education Code Section 81701(c), must submit completed Pre-Qualification Questionnaires and be prequalified by the DISTRICT. Prequalification is a requirement for providing a proposal on this project.

Brandy K. D’Lena
Director, Facilities Planning & Purchasing

PUBLISH: THE REGISTER
August 1, 2012 &
August 8, 2012
ARTICLE 1
DEFINITIONS

1.1 GENERAL

Capitalized terms shall have the meanings assigned to them in, or (if none is assigned) as reasonably understood to apply to them by the context of, the portion of the Pre-Qualification Documents where such terms are used.

1.2 DESIGN-BUILD ENTITY

The term “Design-Build Entity” as used in the Request for Qualification (RFQ) and Pre-Qualification Documents shall have the same meaning as described in Education Code Section 81701 (c).

1.3 DESIGN-BUILD ENTITY MEMBERS

The term “Design-Build Entity Member(s)” as used in the Pre-Qualification Documents means any member of a corporation, limited partnership, partnership, or other association that is able to provide appropriately licensed contracting, architectural, and engineering services as needed pursuant to a design-build contract. If a Design-Build Entity Member, or a firm having an ownership interest in a Design-Build Entity Member, is itself a partnership, joint venture or other association of persons or legal entities, then the term Design-Build Entity Member includes any and all of the individuals, corporations, partnerships, joint ventures or other associations of persons or legal entities that holds an ownership interest in or that share in the profits and losses of such Design-Build Entity Member or firm.

1.4 GENERAL CONTRACTORS, ARCHITECT OF RECORD, PRINCIPAL STRUCTURAL ENGINEER, ELECTRICAL SUBCONTRACTORS, MECHANICAL SUBCONTRACTORS

The capitalized terms General Contractors, Architect of Record, Principal Structural Engineer, Electrical Subcontractor(s), and Mechanical Subcontractor(s) shall have the meanings assigned to them in the Pre-Qualification Questionnaire (Attachment 1).

1.5 PROPOSERS

The term Proposers shall mean Pre-Qualified Design-Build Entities that are invited by the District to submit Proposals for the design and construction of the Irvine Valley College A 400 Renovation and Expansion Project (“Project”).
ARTICLE 2
DESCRIPTION OF PROJECT AND RFP PROCESS

2.1 DESCRIPTION OF PROJECT

2.1.1 Type of Structure. The Project is to renovate and build a new addition to Building A 400, including site work required for the project, as described in the Irvine Valley College A400 Design-Build Project Description and Program Summary-Draft. (Attachment 2).

2.1.2 Size and Capacity. The renovation portion of the Project is approximately 12,000 SF. The new addition portion of the Project is approximately 12,000 SF.

2.1.3 Location of Site. The Project site is located at Building A 400 on the Irvine Valley College campus, 5500 Irvine Center Drive, Irvine, CA 92618.

2.1.4 Scope of Project. The scope of the Project includes: (1) the design (based on Project Criteria furnished by the District as part of the Request for Proposals (RFP) process) and construction (based on detailed Construction Documents prepared by the successful Design-Build Entity that are approved by District and the Division of the State Architect (DSA)) of the Project, and incorporate the work of all disciplines necessary to construct the Project, including, without limitation, all structural and architectural elements, mechanical, electrical, lighting, landscaping, graphics, signage, fire alarm, sprinkler systems; and (2) the completed design (based on documents furnished by the District as part of the Project Criteria) and construction (based on detailed Construction Documents prepared by the successful Design-Build Entity that are approved by District, DSA and Local Agencies having jurisdiction) of all Site work, such as, but not limited to, grading, site utilities, sidewalks, vehicle drive lanes and curbs within the boundaries and off-site work as required by the RFP.

2.1.5 IPD. The District wishes to implement elements of Integrated Project Delivery (IPD) into this design-build project. Integrated Project Delivery is a project delivery approach that integrates people, systems, business structures, and practices into a process that collaboratively harnesses the talents and insights of all participants to optimize project results, increase value to the owner, reduce waste, and maximize efficiency through all phases of design, fabrication, and construction. More information on IPD can be found in the AIA Integrated Project Delivery http://info.aia.org/SiteObjects/files/IPD_Guide_2007.pdf

2.1.6 BIM. The District will require the use Building Information Modeling (BIM) for the design of the proposed building including structural, mechanical, electrical, plumbing, fire sprinkler and building envelope systems. BIM standards will be included with the Request for Proposals. In order to accomplish the IPD delivery approach, the successful design-build proposer will be required to list the various trades that will impact the BIM process.
2.1.7 **Design-Build Budget.** The total Design-Builder’s budget for the design and construction of the project is approximately $8,850,000. This amount shall be used in the initial Design-Build agreement. After DSA approval and agreement on the Guaranteed Maximum Price (GMP), a change order to the contract will be issued to adjust the contract lump sum to be in accordance with the actual GMP. This amount includes all costs of the complete design, engineering, construction, supervision, management, compliance with all applicable codes and agency requirements, and any and all items required for the completion of the project per the Contract Documents, except costs for items specifically identified as not a part of the Design-Build Budget. Items anticipated to be provided by the District include hazardous material survey, geotechnical report and topographic, utility surveys and procurement of furniture, fixtures and equipment (coordination efforts will be required). Responses to the Request for Proposal will be required to include a total price proposal that is less than or equal to the Design-Build Budget.

2.1.8 **Contract Time.** See Article 4.2.1 for the preliminary project schedule. The estimated Contract Time allocated by the District for design and construction of phases of the Project from commencement of design to the point of Final Completion is currently established at 730 calendar days. Contract Time, which may be more or less than, or equal to, the period of time just stated, will be set forth in the RFP Documents. Requests for Proposals will be required to include a proposed period of time for design and construction that is less than or equal to the Contract Time.

2.1.9 **Liquidated Damages.** The Design-Build Contract includes provisions for payment of liquidated damages to the District of $2,750 per Calendar Day if the Design-Builder fails to Substantially Complete the Work within the Contract Time as adjusted for extensions of time permitted under the terms of the General Conditions of the Design-Build Contract. Additionally, those "short-listed" Design-Build Entities who, after successfully completing the Pre-Qualification process, are invited to submit Design-Build Proposals shall be asked in the Request for Proposal to include in their Design-Build Proposals a daily amount for liquidated damages payable by District to the Design-Build Entity for Compensable Delays, which amount shall under no circumstances exceed $2,750 per Calendar Day. In accordance with the terms of the Design-Build Contract and General Conditions, such liquidated damages payable to the Design-Builder shall constitute the Design-Builder’s exclusive compensation covering all costs, expenses and damages due to Compensable Delay that are incurred by Design-Builder and its Subcontractors and Subconsultants of every Tier. No other compensation to Design-Builder for costs, expenses or damages associated with Delay shall be permitted.

2.1.10 **No Warranty by District.** Design-Build Entities are solely responsible to satisfy themselves as to the suitability of any surveys, estimates, projections, budgets, design concepts, technical criteria or similar information provided by the District or reviewed by them relating to the proposed Project. Nothing stated in this Request for Pre-Qualification or in any other information provided by the District shall be construed as implying the creation or existence of any warranty, express or implied, on the part of the District with respect thereto. Notwithstanding the foregoing
limitations, the School District will responsible for those additional costs and delays which may be caused by the existence of unanticipated conditions which could only have been discovered through extensive excavation or destructive testing.

2.2 SUMMARY OF REQUEST FOR PROPOSAL (RFP) PROCESS

2.2.1 Informational Summary. The provisions of this Section 2.2 are intended to summarize for Design-Build Entities the process that the District intends to follow in respect to issuance of its Request for Proposal, consideration of Design-Build Proposals from Proposers and Award of the Design-Build Contract. This summary is provided for the convenience of the Design-Build Entities and should not be interpreted as a complete or definitive statement of all procedures, conditions, requirements or standards that may apply to any of the aforementioned processes. The District reserves the right, at any time, and in the exercise of its sole and absolute discretion, to modify such procedures, conditions, requirements or standards, by changes, additions or deletions thereto.

2.2.2 Two-Phase Design-Build Selection. The process for Award of the Design-Build Contract is a two phase process.

1. Phase 1 (the Pre-Qualification Process) consists of the Pre-qualification of a “short-list” of three (3) Pre-Qualified Design-Build Entities who, based on the scoring by District’s Pre-Qualification Selection Committee of their responses and other information provided in response to the Pre-Qualification Questionnaire and potential interviews, are determined to be ranked the most qualified to design and construct the Project. The District reserves the right to Pre-Qualify more than three (3) Design-Build Entities if it believes it has sufficient reason to do so. The procedures and forms for Pre-Qualification are set forth in the Request for Pre-Qualification, of which these Instructions are a part. Each Design Build Entity interested in Pre-Qualifying shall notify the District via email or fax of firm name and contact information no later than August 16, 2012 for receipt of any addenda information. Each of the Pre-Qualified Design-Build Entities on this “short-list” will then be provided a Request for Proposals and invited to submit Design-Build Proposals for the Project.

2. Phase 2 (the RFP process) is the process for final selection. From among the Pre-Qualified Design-Build Entities that submit Design-Build Proposals (“Proposers”), one (1) Proposer will receive the Award of the Design-Build Contract. Selection of the successful Design-Build Entity in Phase 2 shall be based upon pre-established criteria set forth in the Request for Proposal, which shall include both price and non-price factors and a Design-Build interview. Award of the Design-Build Contract may be made to that Proposer whose Design-Build Proposal is determined by the District to be overall the best value to the District.
2.2.3  **Experience.** Credit for experience as non-price evaluative factors in the design-build competition shall be based only on design-build experience and California school design and construction experience.

2.2.4  **Award.** The Board of Trustees for the District will issue a written decision supporting its Award of the Design-Build Contract to the successful Proposer, stating in detail the basis of the Award. The identity of the successful Proposer shall be publicly announced, along with its price proposal and overall combined scoring on the Request for Proposal evaluation, the District’s ranking of the successful Proposer in relation to the other Proposers and their respective price proposals, and a summary of the District’s rationale for the contract award.

2.2.5  **Design-Build Contract.** A copy of the proposed Design-Build Contract that the District contemplates issuing to the successful Design-Build Entity will be made available with the Requests for Proposals. District reserves the right, exercised in its sole discretion, at any time prior to Award to unilaterally change, by addition, modification or deletion, any of the terms of the Design-Build Contract in accordance with the procedures set forth in the RFP Documents.

2.2.6  **Proposal Bond, Payment and Performance Bonds, Insurance.** Each Pre-Qualified Design-Build Entity that is invited to submit a Design-Build Proposal for the Project shall be required, without limitation, to deliver to the District a Proposal Bond as security to ensure that the successful Design-Build Entity will, if it receives the Award, enter into the Design-Build Contract and deliver the other Post-Award Submittals required by the RFP Documents. Additionally, Proposer which is selected for Award of the Design-Build Contract, shall possess and be required to submit evidence of: (1) sufficient bonding to cover the full contract price for non-design services utilizing bond forms included in the RFP Documents (2) errors and omissions insurance coverage sufficient to cover all engineering design and architectural services required by the Design-Build Contract; and (3) all other insurance coverages required to be provided by the Design-Builder, from an acceptable insurer, under the terms of the Design-Build Contract, including but not limited to:

- Comprehensive General Liability Insurance with limits of not less than $2,000,000 per occurrence and $4,000,000 general aggregate,
- Builders Risk Insurance sufficient to cover the price of the completed project,
- Public Liability and Property Damage Insurance,
- Fire Insurance,
- Automobile Insurance,
- Worker’s Compensation, and other insurance as required by law and customary for similar construction projects.
2.2.7 **Subcontractors.** All subcontracts with Subcontractors which are not Design-Build Entity members of the successful Proposer’s identified team and who are not otherwise required to be pre-qualified pursuant to information provided herein, shall be awarded by the successful Design-Build Entity in accordance with the process set forth in accordance with Education Code Section 81704(c) and the Request for Proposal, which shall provide for public notice of the availability of work to be subcontracted and a fixed date and time on which the subcontracted work will be awarded, and shall afford to the Subcontractors the protections contained in Chapter 4 (commencing with Section 4100) of Part 1 of Division 2 of the Public Contract Code.

2.2.8 **Interested Parties.** General Contractors and Architects of Record will not be allowed to participate in the Request for Proposal process as members of more than one Design-Build Entity Team or as Subcontractors or Subconsultants to more than one Design-Build Entity. Consultants or Subconsultants to the District who are participants or advisors to the District in respect to selecting the Design-Build Entity for the Project shall not be allowed to participate as a Design-Build Entity Member or as a Subconsultant or Subcontractor, of any Tier, to a Design-Build Entity.

2.2.9 **Design-Build Proposals.** Design-Build Proposals submitted by Proposers in response to the RFP shall include, without limitation, ten (10) hard copies of required submittal and one (1) CD ROM disk.

2.2.10 **Discussions, Negotiations and Limited Negotiations.** The District reserves the right, but shall not have the obligation, as part of the RFP process to hold Discussions, Negotiations and/or Limited Negotiations with, the Proposers. Procedures for Discussions, Negotiations, and Limited Negotiations shall be set forth in the Request for Proposals and/or in instructions issued to Proposers in accordance with the Request for Proposals.

2.2.11 **Ownership, Copyright, Confidentiality and Disclosure.**

.1 **Ownership, Copyright.** Drawings, renderings, models, building designs, design approaches, design details, construction techniques, procedures, means and methods and other technical design and construction information contained within a Design-Build Proposal shall be deemed the sole and exclusive property of the District, all copyrights thereto shall be deemed assigned to and held by the District, and the Proposer shall retain no property, copyright or other proprietary rights with respect thereto; provided, however, that: (1) nothing herein shall be interpreted as prohibiting or limiting the right of any Proposer that does not receive Award of the Design-Build Contract to copy, use or incorporate such technical design information contained within its own Design-Build Proposal for its own use in connection the conduct of its business, trade or profession; and (2) with respect to the Proposer who receives Award of the Design-Build Contract, such Proposer’s rights and obligations with respect to copying, use or incorporation of such technical design information in any projects or work other than the Project shall be governed by the terms of the Design-Build Contract.
.2 Confidentiality of Design and Pricing Information Prior to Award. District shall make reasonable efforts, consistent with applicable laws, to refrain from disclosing to competing Proposers prior to Award the content of any information on design, project management, prices or pricing that is contained in another Proposer’s Design-Build Proposal.

.3 Confidentiality of Pre-Qualification Packages. The Pre-Qualification packages (questionnaire answers and financial statements) submitted by Design-Build Entities are not public records and are not subject to public inspection. All information provided will be kept confidential to the extent permitted by law. State law requires that the names of Design-Build Entities applying for pre-qualification status shall be public records subject to disclosure, and the first page of the Questionnaire will be used for that purpose.

2.2.12 Changing of Team Members. No changes in or additions to Design-Build Entity Members or to the list of Subconsultants or Subcontractors that have been pre-qualified pursuant to the District’s Pre-Qualification process for the Project shall be permitted after the deadline in the RFP Schedule for submission of Design-Build Proposals, except with the prior written authorization of the District, which authorization may be granted or withheld in the District’s sole discretion. By way of example and without limitation to the foregoing, any person or entity who is either (1) a Design-Build Entity Member, (2) a key employee of a Design-Build Entity Member who has been pre-qualified for the Project pursuant to the District’s Pre-Qualification process, or (3) a Subconsultant or Subcontractor that has been pre-qualified for the Project pursuant to the District’s Pre-Qualification process, shall not be “switched out” or substituted with other persons or entities after the deadline in the RFP Schedule for submission of Design-Build Proposals.

2.2.13 Disabled Veteran-Owned Business Participation. The Design-Build Entity that receives Award of the Design-Build Contract is encouraged to include disabled-veteran owned businesses. Selected Proposers will present and be rated on their Disabled-Veteran Owned Business Plans at the Interviews.

2.2.14 Signing Services. Signing services for persons with hearing disability shall be made available upon written request received by the District’s Executive Director of Facilities Planning and Purchasing at the address for receipt of RFQ submittals at least three (3) business days prior to the date that such services are required.
ARTICLE 3
DESIGN-BUILD ENTITY’S REPRESENTATIONS

3.1 Each Design-Build Entity, by submitting its Pre-Qualification Submittal, represents that:

3.1.1 Compliance with Pre-Qualification Documents. Its Pre-Qualification Submittal is made in compliance with the Pre-Qualification Documents.

3.1.2 Due Authorization Signatures. Each Pre-Qualification Questionnaire must be signed under penalty of perjury in the manner designated at the end thereof, by a representative of the Design-Build Entity that is authorized to contractually bind the Design-Build Entity and by each of the constituent members of the Design-Build Entity. The information provided by the Design-Build Entity is provided under oath, with the understanding that the intentional providing of false information is, in itself, grounds for disqualification. Any signer of its Pre-Qualification Questionnaire that is a corporation is duly incorporated, authorized to do business and in good standing under the laws of the State of California.

3.1.3 Immigration Reform and Control Act. Proposer represents that it, and each member of its proposed Design-Build team is, and at all times during the performance of the Work shall be in full compliance with the provisions of the Immigration Reform and Control Act of 1986 (“IRCA”) as well any similar provisions of applicable laws setting forth proscriptions or penalties relating to the employment or hiring of undocumented aliens in connection with the hiring of its employees, and that Proposer has included in its design-Build Proposal all costs of compliance therewith.

ARTICLE 4
PRE-QUALIFICATION DOCUMENTS, SCHEDULE AND PROCEDURES

4.1 PRE-QUALIFICATION DOCUMENTS

4.1.1 Pre-Qualification Documents. The Pre-Qualification Documents consist of the following: (1) Advertisement of Design-Build Project and Opportunity to Pre-Qualify for Same; (2) these Instructions to Design-Build Entities (including, without limitation, all attachments hereto); (3) Pre-Qualification Questionnaire; and (4) Pre-Qualification Addenda.

4.1.2 Pre-Qualification Submittals. The following documents are required to be submitted to the District by Design-Build Entities in order to be considered for Pre-Qualification:

.1 completed and signed Pre-Qualification Questionnaire in the form attached hereto as Attachment No. 1; and

.2 all other documents or information requested by the Pre-Qualification Questionnaire or these Instructions to Design-Build Entities.
4.2 PRE-QUALIFICATION, REQUEST FOR PROPOSAL AND AWARD SCHEDULE

4.2.1 Procurement Schedule. The following is the anticipated schedule for the Pre-Qualification process and for the issuance of the Request for Proposals and Notice of Intent to Award the Design-Build Contract:

1. Initial Advertisement for Pre-Qualification: August 1, 2012
2. Subsequent Advertisement for Pre-Qualification: August 8, 2012
3. Pre-Qualification Documents Available: August 1, 2012
4. Deadline for Notifying District of Interest to Prequalify August 16, 2012
5. Deadline for Requests for Clarification: August 16, 2012
6. Pre-Qualification Submittals Due: August 22, 2012
7. Reference Interviews by District (optional): Last week August, 2012
8. Interviews: Last week August, 2012
10. Notice of Selection of Pre-Qualified Entities and Issuance of Requests for Proposals: September 12, 2012
11. Design-Build Proposals Due: November 20, 2012
13. Notify firms of interviews, if held: December 5, 2012
15. Selection of Top-ranked Firm: December 21, 2012
17. Board Approval: January 21, 2013
19. Project Delivery to District: April 2015
4.2.2 Public Proceedings. All proceedings related to the Pre-Qualification of Design-Build Entities, shall be closed to the public.

4.2.3 Changes to Pre-Qualification Schedule. The District reserves the right, at any time, to make additions, modifications or deletions to any of the events or dates that comprise the Pre-Qualification Schedule. Changes made prior to issuance of the Request for Proposal shall be made by issuance of a Pre-Qualification Addendum. Changes to any dates set forth in the Pre-Qualification Schedule that are made after issuance of the Request for Proposal shall be made by issuance of an RFP Addendum in accordance with the terms of the RFP Documents changing the RFP Schedule. References in the Pre-Qualification Documents to the Pre-Qualification Schedule or dates in the Pre-Qualification Schedule shall mean the Pre-Qualification Schedule and dates set forth in Paragraph 4.2.1, above, as adjusted by any changes thereto made pursuant to this Paragraph 4.2.3.

4.3 PRE-QUALIFICATION PROCEDURES

4.3.1 Selection of Pre-Qualified Design-Build Entities. The District will select from all of the Design-Build Entities who submit responses to the RFQ, up to three (3) Pre-Qualified Design-Build Entities (unless fewer than three (3) Design-Build Entities participate in the Pre-Qualification Process, in which case fewer than three (3) Pre-Qualified Design-Build Entities may be selected) who, based on the scoring by the Pre-Qualification Selection Committee of their responses and other information provided in response to the RFQ, are determined to be the most qualified to design and construct the Project. The District reserves the right to select more than three (3) Pre-Qualified Design-Build Entities if it believes it has sufficient reason to do so. The selection of those Pre-Qualified Design-Build Entities who will receive the Request for Proposals pursuant to the foregoing “short-listing” process will be based on objective evaluation of the information requested in the Pre-Qualification Documents and provided by the Design-Build Entity in its Pre-Qualification Submittal, including, without limitation, the Design-Build Entity’s answers to the Pre-Qualification Questionnaire.

4.3.2 Pre-Qualification Selection Committee. The Pre-Qualification Selection Committee will conduct on behalf of the District the evaluation, ranking and selection of the Pre-Qualified Design-Build Entities who will receive a Request for Proposals and be invited to submit a Design-Build Proposal for the Project. The identities of the members of the Pre-Qualification Selection Committee shall not be disclosed by the District.

4.3.3 Unauthorized Communications, Lobbying. Unless and except as requested to do so in writing by the District’s Director of Facilities, Design-Build Entity Members and their Subconsultants and Subcontractors shall not communicate, either verbally or in writing, with: (1) any member of the Pre-Qualification Selection Committee; (2) any consultant or professional retained by the District for the purpose of providing the District advice or professional services in respect to the Project or the Pre-Qualification or RFP process; (3) any member of the Board of Trustees of the South Orange County Community College District 08/01/2012
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4.3.4 Notification to Owner of intent to submit Pre-Qualification Questionnaire. Each Design Build Entity interested in Pre-Qualifying shall notify the District via email or fax of firm name and contact information no later than August 16, 2012, for receipt of any addenda information.

4.3.5 Licensing. Except as otherwise permitted by this Paragraph 4.3.5, each Design-Build Entity must possess at all times during the Pre-Qualification and RFP processes an active Class B (general contracting) contractor’s license issued by the California Contractors State Licensing Board that is in good standing. If the Design-Build Entity is a joint venture consisting of two or more individuals, firms, partnerships, corporations, associations or other organizations in which at least one of such joint venturers holds an active Class B (general contracting) contractor’s license issued by the California Contractors State Licensing Board that is in good standing, then such Design-Build Entity shall be entitled, notwithstanding the absence of separate joint venture license, to apply for Pre-Qualification and to be considered for Award of the Design-Build Contract; provided, however, that any Design-Build Entity that is selected to receive Award of the Design-Build Contract must possess, at the time of Award of the Design-Build Contract, a separate joint venture Class B (general contracting) contractor’s license issued by the California Contractors State Licensing Board that is in good standing. In addition to the licensing requirements set forth in this Paragraph 4.3.5 applicable to Proposers, all persons or entities acting as architects, engineers or subcontractors that a Proposer would be obligated to pre-qualify pursuant to Paragraph 4.3.18, below, shall possess, at the time of submission by the Design-Build Entity of its Pre-Qualification Submittal, all licenses required by applicable laws to be issued for the contracting and performance of the particular professional service and/or trade work with respect to which Pre-Qualification of such person or entity is required by the Pre-Qualification Documents.

4.3.6 Skilled Labor Availability. As a part of requirements set forth in the Pre-Qualification Documents, each Design-Build Entity (or its General Contractor, if the Design-Build Entity is not the General Contractor) and its subcontractors must meet the minimum requirements for “skilled labor force availability” of California Education Code Section 81703(c)(2)(F), meaning that an agreement exists with a registered apprenticeship program, approved by the California Apprenticeship Council, which has graduated apprentices in each of the immediately preceding five years; provided, however, that this graduation requirement shall not apply to programs providing apprenticeship training for any craft that has not been deemed by the

District; (4) any member of the Chancellor’s Executive Council or (5) any employee or representative of the District except the District’s Executive Director of Facilities Planning and Purchasing. Without limitation to the foregoing, Proposers are advised that lobbying is not permitted with any District personnel or members of the Board of Trustees. “Lobbying”, as used herein, is defined as any action taken by an individual, firm, association, joint venture, partnership, or corporation seeking to influence the governmental decision of District personnel or the members of its Board of Trustees on matters related to the Pre-Qualification or RFP processes or Award of the Design-Build Contract for the Project. Any such contact aforementioned could result in the disqualification of the Design-Build Team.
Department of Labor and Department of Industrial Relations to be an apprenticeable craft in the five years prior to enactment of the act adding California Education Code Section 81703. Failure to comply with such requirements shall be grounds for disqualification without further consideration of the Design-Build Entity’s Pre-Qualification Submittal. If the General Contractor will not self-perform any of the work, then the General Contractor may comply with this requirement by certifying that it will require its subcontractors to comply.

4.3.7 Submission. Ten copies of the Pre-Qualification Submittals shall be hand delivered to, or received by mail at:

South Orange County Community College District
Health Sciences Building, 3rd Floor, Room HS-357
Purchasing & Facilities Planning Department
28000 Marguerite Parkway
Mission Viejo, CA 92692

Attention: Executive Director, Facilities Planning & Purchasing, Monday through Friday during regular working hours of 8:00 a.m. to 4:30 p.m., up to and including, August 22, 2012, 2:00 PM. Facsimiles and emails will not be accepted. The submittals shall be marked “CONFIDENTIAL”. Except as permitted by Paragraph 4.3.17 (concerning updating of information due to new or changed circumstances) or as provided in the final sentence of this Paragraph 4.3.7, submissions after the aforesaid deadline will not be considered. The Design-Build Entity assumes full and sole responsibility for timely receipt of its complete Pre-Qualification Submittal at the aforesaid location designated for receipt thereof. Pre-Qualification Submittals that are received after the deadline specified in these Instructions to Design-Build Entities shall be returned, unopened; provided, however, that a late Pre-Qualification Submittal may be considered if it is the only Pre-Qualification Submittal received.

4.3.8 Requests for Clarification. The Design-Build Entity is solely responsible to seek clarification, if needed, of any portion of the Pre-Qualification Documents. All requests by Design-Build Entities for clarification of the Pre-Qualification Documents must be submitted in writing, between the hours of 8:00 a.m. and 4:00 p.m., and no later than August 16, 2012, 2:00 p.m., by hand delivery, mail, fax or e-mail to the following:

Brandye K. D’ Lena
Executive Director, Facilities Planning & Purchasing
SOCCCCD
28000 Marguerite Parkway
Mission Viejo, California 92692
P (949) 582-4678 F (949) 364-1731
bdlena@socccd.edu

Requests for clarification received after that time will not receive a response. Failure by a Design-Build Entity to seek clarification of any portion of the Pre-Qualification Documents shall not relieve the Design-Build Entity from its representations as set forth hereinabove nor serve as the basis for any claim by the Design-Build Entity that it
was mistaken or misled in connection with the preparation of its Pre-Qualification Submittal. Responses to the requests for clarification will be made in writing and distributed to all Design-Build Entities.

4.3.9 Pre-Qualification Addendum. Interpretations, corrections and changes by the District of the Pre-Qualification Documents will be made by Pre-Qualification Addendum. Interpretations, corrections and changes of the Pre-Qualification Documents made in any other manner shall not be relied upon and will not be binding. Notice of issuance of a Pre-Qualification Addendum that is issued prior to the original or revised deadline for submission of Pre-Qualification Submittals shall be given only to the Design-Build Entities which have provided the District of Firm name and contact information by no later than August 16, 2012. Notice of Pre-Qualification Addenda that are issued after the original or revised deadline for submission of Pre-Qualification Submittals shall be given only to the Design-Build Entities who have submitted Pre-Qualification Submittals in accordance with the requirements of the Pre-Qualification Documents. Notice by the District of a Pre-Qualification Addendum shall be effective if by hand delivery, mail, facsimile or e-mail. The District also anticipates (but shall not be obligated for) making Pre-Qualification Addenda available for review on the District Website. Failure of a Design-Build Entity to receive a Pre-Qualification Addendum shall not entitle the Design-Build Entity to an extension of the Pre-Qualification Schedule nor shall it permit the submission of any additional Pre-Qualification information after the deadlines set forth in the Pre-Qualification Schedule except in those instances where the District request information for clarification purposes. The Design Build Entities shall confirm, by specifically identifying and listing in its Pre-Qualification submittal, its receipt of each RFQ addendum. Failure to acknowledge receipt of each and all RFQ Addenda may be asserted by the District as a basis for determining RFQ Submittal package nonresponsive.

4.3.10 Applicable Laws. All Pre-Qualification Submittals must be submitted, filed, made and executed in accordance with applicable laws, whether such laws are expressly referred to herein or not.

4.3.11 Sealed Envelope. All Pre-Qualification Submittals shall at the time of delivery be enclosed in a sealed opaque envelope marked “CONFIDENTIAL”. Said envelope, as well as any other outer envelope or packaging in which said envelope may have been placed by Design-Build Entity or the carrier for delivery, shall be addressed and delivered as provided in these Instruction to Design-Build Entities and shall be clearly and conspicuously labeled with the Design-Build Entity’s name, contact information and address and an identifying name of the Project and assigned Project number for which the Pre-Qualification Submittal is submitted. The Design-Build Entity assumes full responsibility for timely delivery of its Design-Build proposal at the location designated.

4.3.12 Withdrawal, Resubmission. Pre-Qualification Submittals may be withdrawn at any time upon written notice to the District at the place for receipt of Pre-Qualification Submittals. Pre-Qualification Submittals withdrawn prior to the deadline for receipt thereof as set forth in the Pre-Qualification Schedule may be resubmitted up
to the deadline for submission thereof as provided for in the Pre-Qualification Schedule.

4.3.13 Rejection by District. Without limitation to any of the District’s other rights under the Pre-Qualification Documents or applicable laws, the District reserves the right, exercised in its discretion, to reject any or all Pre-Qualification Submittals that fail to comply with the requirements of the Pre-Qualification Documents or that contains any information that is untrue or misleading. The District further reserves the right, exercised in its sole and absolute discretion, to withdraw and cancel its Request for Pre-Qualification (before or after receipt of Pre-Qualification Submittals) and/or reject all Pre-Qualification Submittals.

4.3.14 Updated Information. If, due to new or changed circumstances occurring after the deadline in the Pre-Qualification Schedule for submission of Pre-Qualification Submittals, any information provided by a Design-Build Entity becomes inaccurate, the Design-Build Entity must immediately notify the District and provide updated accurate information in writing, under penalty of perjury and signed in the same manner as required for Pre-Qualification Submittals. The foregoing provision shall not be interpreted as granting Design-Build Entities the right after the deadline in the Pre-Qualification Schedule for submission of Pre-Qualification Submittals to correct information that was inaccurate or incomplete at the time the Pre-Qualification Submittals was submitted or to submit any new information that is for the purpose of or that would have the effect of improving upon or enhancing the responses or other information provided in the Pre-Qualification Submittal. Consideration of such updated information is in the sole discretion of the District.

4.3.15 General Contractor, Architect of Record, Principal Engineer and Subcontractors. The Design-Build Entity shall provide all information requested by the Pre-Qualification Questionnaire pertinent to the Pre-Qualification of the following, whether or not they are Design-Build Entity Members: General Contractor, Architect of Record, Principal Structural Engineer, other Consulting Engineers as appropriate to your team and Subcontractors in the following trades: mechanical and electrical. With respect to any of the Subcontractors in the aforesaid specialty trades, the Design-Build Entity may, as an alternative to pre-qualifying a single person or entity to perform the work of the aforesaid trade, pre-qualify more than one Subcontractor for each such trade from which it will later accept bids or prices for a portion of the Project.

4.3.16 References. The District reserves the right, but assumes no obligation, to conduct interviews of references provided by Design-Build Entities in its Pre-Qualification Submittals. Whether or not the District elects to conduct interviews, the District assumes no responsibility to determine the accuracy of references provided in the Pre-Qualification Submittals, including, without limitation, contacting or interviewing references and other sources available. If contacted, each reference will be asked identical questions from a list of questions pre-prepared by the District. It is the Design-Build Entity’s responsibility to verify the accuracy, and present only current contact information, for all such references. The District also reserves the right to
contact other known references, whether or not listed by the Design-Build Entity, in the same manner described above.

### 4.3.17 Subsequent Information

The District reserves the right, in its discretion, to adjust (by increasing or decreasing), limit, suspend or rescind the Pre-Qualification scoring or ranking of a Design-Build Entity or disqualify a Design-Build Entity based on subsequently-learned information that the District determines could have adversely affected the scoring or ranking of the Design-Build Entity if such information had been included in the Design-Build Entity’s Pre-Qualification Submittal. Without limitation, the foregoing, in the event that as a result of such subsequent-learned information the scoring and rank of a Design-Build Entity that is the subject of the such information is changed such that it is thereafter ranked lower than a competing, previously lower-ranked Design-Build Entity, then the District shall have the right, but not the obligation, to extend an invitation to participate in the RFP process to such previously lower-ranked Design-Build Entity (or, if such Design-Build Entity declines the invitation, to extend an invitation to the next in order of the previously lower-ranked Design-Build Entity whose rank, after such adjustment, is above the rank of the Design-Build Entity who was the subject of such information).

### 4.3.18 Clarification of Submittals

The District reserves the right at any time to request in writing from any or all Design-Build Entities clarification of any information contained in a RFQ submittal. Nothing stated herein or elsewhere in the RFQ documents shall be interpreted as obligating the District to request further clarification from any Design-Build Entity or as obligating the District to seek the same or similar clarification from other or all Design-Build Entities. Request for clarification shall be responded to by the Design-Build Entity to whom it is directed within three (3) days after the date of receipt thereof by the Design-Build Entity in the same manner and to the same location as provided in delivery requirements herein. Responses to such requests by the District shall be limited to clarifying the portion of the submittal described in the District’s request. Responses shall not include changes to a RFQ submittal. Information provided in a response to a request for clarification that does not comply with the requirements of this paragraph will not be considered.

### 4.3.19 Waiver of Irregularities

The District reserves the right to waive minor or clerical irregularities, errors or omissions in the information contained in any Pre-Qualification Submittal or in regard to any Design-Build Entity’s compliance with Pre-Qualification process, and to make all final determinations with respect to the information provided in any Pre-Qualification Submittal.

### 4.3.20 Costs and Expenses

Design-Build Entities shall bear, at their own expense and without reimbursement by the District, all costs and expense associated with their participation in the process of Pre-Qualification and if selected of responding to the Request for Proposals for the Project.

### 4.3.21 Notices

Notices by the District to Design-Build Entities issued after the deadline in the Pre-Qualification Schedule for submission of Pre-Qualification Submittals shall be deemed delivered and received by the Design-Build Entity if provided by delivery, mail, facsimile or e-mail to the Design-Build Entity at the address
provided by the Design-Build Entity as required by August 16, 2012, or at the address set forth in Section I, Part A of the Pre-Qualification for the “Contact Person” for the Design-Build Entity.

**ARTICLE 5**
**SCORING AND RANKING OF DESIGN-BUILD ENTITIES**

5.1 Initial Scoring and Ranking

5.1.1 Scored Sections. The scoring and ranking of the RFQ Design-Build Entities will be based on the following sections of the Pre-Qualification Questionnaire and if optioned, the Interviews:

Part II -- Essential Requirements for the Design-Build Entity;

Part III -- Team Member Questions; and

Part IV -- Projects Completed and References (provided, however, that scoring of interviews of references shall be optional in the discretion of the District).

5.1.2 Short List. Those Design-Build Entities who are not disqualified (based on either their failing to achieve minimum passing scores in their responses to individual sections of the Pre-Qualification Questionnaire or on other grounds for disqualification set forth in the Pre-Qualification Documents) will then be ranked by the Pre-Qualification Selection Committee based on their scores received, and the most qualified Design-Build Entities will be invited pursuant to the “short-listing” process described in Paragraph 2.2.2, above, to participate in Interviews at the option of the Selection Committee. (Rules for Interviews, Attachment 3) The top-ranked Design-Build Entities as a result of the initial scoring combined with scoring of the Interviews will then be invited to Phase 2, the Request for Proposal process.

5.1.3 Interviews. If the District selects a short list of Design-Build Entities for invitations to interview with the Selection Committee, interviews will be face to face confidential exchanges between a Design-Build Entity and the Selection Committee for the purpose of clarifying a Pre-Qualification submittal to assure a full understanding of, and responsiveness to the requirements of the RFQ documents and to discuss any perceived weaknesses or deficiencies in a Pre-Qualification submittal. Interviews will be held after the scoring of the RFQ submittal and pursuant to the schedule herein. Interviews will be conducted by the Selection Committee in accordance with Rules for RFQ Interviews set forth in the Attachments portion of the RFQ documents.

5.1.4 Perceived Weakness, Deficiencies in Submittals. Interviews may include addressing perceived weakness or deficiencies in the RFQ submittal of the Design-Build Entities with whom such Interviews are conducted. However, District assumes no obligation as part of the Interviews to address or discuss any or all weaknesses, errors, omissions or other matters in the RFQ submittal, whether observed or not observed by the District or the Selection Committee, and all such weakness or deficiencies, whether
observed, not observed, discussed or not discussed, remain the sole responsibility of the Design-Build Entity.

5.1.5 Additional Interviews. In the event that any of the Design-Build Entities to whom an Interview invitation was issued fails to attend the Interview, the District may, if it determines that it is in its best interest to do so, invite one or more of the other Pre-Qualified Design-Build Entities ranked next highest in order according to the scoring conducted during the RFQ process to attend an interview.

5.1.6 Scores Not Carried Forward. Scoring from this Request For Pre-Qualification will not be carried forward as a factor in scoring Design-Build Proposals submitted in response to the RFP process by Pre-Qualified Design-Build Entities on the “short-list” of Pre-Qualified Design-Build Entities.

5.2 Selection. The District will convene the Selection Committee and brief all members on the scope of the project and the services required. The Committee will discuss the requirements of the project.

5.2.1 Initial Screening. (100 Total Points Available. The minimum number of points to qualify is 65).: From the applicant's response to the RFQ, The Selection Committee will objectively evaluate each firm's abilities in accordance with the criteria stated in each scored section.

5.2.2 Interviews, if opted. (20 Total Points Available. The minimum number of points to qualify is 13).: The Selection Committee will determine the preliminary ranking of the firms under consideration based on the above scoring factors and select three (3) firms with the highest ranking to present in-person interviews.

.1 The Selection Committee will discuss and decide what topics should be covered, based on the preliminary topics listed below. A date and time for the interviews shall be determined.

.2 Request for interview: A formal letter of request will be prepared by the District. The District may advise each firm by phone or email of the interview schedule and follow up with the formal letter of request. The District will schedule a suitable room for the Committee and the selected firms. The Design-Build Entity will furnish all visual aids.

.3 Scoring of Interview. Interviews will be conducted in person. The Design-Build Entity shall include on their interview team, at a minimum, the following individuals:

<table>
<thead>
<tr>
<th>Role</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Contractor</td>
<td>Executive in charge of Project</td>
</tr>
<tr>
<td></td>
<td>Project Manager for the Project</td>
</tr>
<tr>
<td>Architect</td>
<td>Principal in charge of the Project</td>
</tr>
<tr>
<td>Engineers</td>
<td>Structural Engineer for the Project</td>
</tr>
<tr>
<td></td>
<td>Project Superintendent for the Project</td>
</tr>
<tr>
<td></td>
<td>Lead Designer/Project Manager for the Project</td>
</tr>
</tbody>
</table>

South Orange County Community College District 08/01/2012
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The Selection committee will review the scoring evaluations of each firm and rate each firm in the following categories:

a) Project Staff – The credentials, experience and committee’s evaluation of each key person’s ability to perform the work.

b) Experience and Ability – The experience and ability of the individual Design-Build Entity Members and the experience of the Design-Build Entity as a team.

c) Approach and Methodology – The unique approach and methodology to be employed by the Design-Build Entity collaborating with the Owner in carrying out the requirements of the project.

d) Cost Control/Value Engineering – Description and examples of the Design-Build Entity’s cost control system(s) and a description of Design-Build Entity’s proposed Value Engineering Program for this project.

5.3 Selection Recommendation: Based on the above, the Committee will rank the Design-Build Entities and proceed with the highest ranked firms.

ARTICLE 6
NOTICE AND APPEAL OF DISTRICT DECISION

6.1 Notice Of Selection The District will issue a Notice of Selection of Pre-Qualified Design-Build Entities in accordance with the Pre-Qualification Schedule to all Design-Build Entities who have completed and submitted Pre-Qualification Submittals. Such Notice may, or may not, include a statement of the final ranking of the Design-Build Entities; however, information on the ranking of Design-Build Entities will be made available during debriefings.

6.2 Debriefing After the issuance of the Notice of Selection of Pre-Qualified Design-Build Entities, the District will make available, for those requesting it, an opportunity for a debriefing. Debriefings will be conducted in accordance with the Pre-Qualification Schedule. At the pre-qualification debriefing, summaries of the scoring and ranking of Pre-Qualification Submittals will be reviewed. Copies of Pre-Qualification Submittals and evaluating worksheets will not be provided nor will there be point-by-point comparisons of competing Pre-Qualification Submittals. Debriefings shall not include disclosure of any information prohibited from disclosure by applicable laws or exempt from release under applicable laws pertaining to release of public records, including, without limitation information constituting trade secrets or other proprietary information.

6.3 Appeal Of District Decision Any Design-Build Entity submitting a Pre-Qualification Submittal to the District may file an appeal of the District’s decision in its Notice of Selection of Pre-Qualified Design-Build Entities, provided that each and all of the following are complied with:

i. the appeal is in writing;
ii. the appeal is filed and received by the Executive Director of Facilities Planning and Purchasing not more than ten (10) Calendar Days following the date of issuance of the District's Notice of Selection of Pre-Qualified Design-Build Entities;

iii. the written appeal sets forth, in detail, all grounds for the appeal, including without limitation all facts, supporting documentation, legal authorities and argument in support of the grounds for the appeal. Any matters not set forth in the written appeal shall be deemed waived. All factual contentions must be supported by competent, admissible and credible evidence.

6.3.1 Failure to Comply. Any appeal not conforming to, or not submitted within the time period required by, this Section 6.3 may be rejected as invalid.

6.3.2 Review and Decision. The Executive Director of Facilities Planning and Purchasing in his/her discretion shall review and evaluate the basis of the appeal and shall provide a written decision to the Design-Build Entity submitting the appeal concurring with or denying the appeal. The written decision of the Executive Director of Facilities Planning and Purchasing shall be final.

6.3.3 Superseding Procedures. The provisions of this Section 6.3 supercede and are in lieu of the provisions for appeal as may be otherwise established by the District pertaining to pre-qualification of contractors for competitive bidding of construction contracts.

ARTICLE 7
ATTACHMENTS

The following Attachments are attached hereto and incorporated as part of the Pre-Qualification Documents by this reference:

ATTACHMENT NO. 1: PREQUALIFICATION QUESTIONNAIRE

ATTACHMENT NO. 2: A 400 DESIGN-BUILD PROJECT DESCRIPTION AND PROGRAM SUMMARY- DRAFT

ATTACHMENT NO. 3: RULES FOR INTERVIEWS

ATTACHMENT NO. 4: DESIGN-BUILD CONTRACT AND GENERAL CONDITIONS (FORETHCOMING WITH ADDENDUM No. 1)

END OF INSTRUCTIONS
ATTACHMENT NO. 1

PRE-QUALIFICATION QUESTIONNAIRE

FOR

A 400 DESIGN-BUILD PROJECT
AT
IRVINE VALLEY COLLEGE

SOCCCD JOB NO. – 307

IRVINE, CALIFORNIA

AUGUST, 2012
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I. INFORMATION ABOUT DESIGN-BUILD ENTITY MEMBERS

This section must be completed, but will not be scored

A. CONTACT INFORMATION

General Contractor Name: ____________________________________________________________

Architect of Record Name: _________________________________________________________

Design-Build Entity Contact Person: ________________________________________________

Design-Build Entity Address: _______________________________________________________

_____________________________________________________________________________

Phone: ___________________________  Fax: ___________________________

Email: ___________________________

B. DESIGN-BUILD ENTITY MEMBERS

List the following individuals and legal entities that are Design-Build Entity Members of the Design-Build Entity.

<table>
<thead>
<tr>
<th>Discipline</th>
<th>Name</th>
<th>Legal Entity (i.e. corporation, partnership, sole proprietor, etc.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Contractor</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Architect</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Structural Engineer</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

For each Design-Build Member listed above that is not a sole proprietorship or corporation (such as, but not limited to, a partnership or other association), please provide a copy of the agreement creating the partnership or other association.
C. INFORMATION ABOUT THE GENERAL CONTRACTOR

Definitions:

- “General Contractor” shall mean the general contractor, holding a current, valid, Class “B” general contractor’s license in good standing, that will assume responsibility for the subcontracting, management, supervision and administration of the construction for the Project.

Provide the following information about the General Contractor for which pre-qualification is being requested by the Design-Build Entity. If the Design-Build Entity is itself the General Contractor for which pre-qualification is being requested by the Design-Build Entity, then provide all information requested as it relates to the Design-Build Entity itself.

1. Name of General Contractor: __________________________________________________________

2. Date of company formation or incorporation: ________________________________

3. State of formation or incorporation: ___________________________________________

4. How many persons does the General Contractor currently employ? _________________

5(a) If the General Contractor is a corporation, provide the following:

Provide information for each officer of the corporation.

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Years with Co.</th>
<th>% Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>CEO</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>President</td>
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<tr>
<td>Secretary</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Treasurer/CFO</td>
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<td></td>
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</tr>
<tr>
<td>Other (Title)</td>
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<td></td>
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</tr>
</tbody>
</table>
5(b) If the General Contractor is an individual doing business as a **sole proprietorship**, please complete the following:

<table>
<thead>
<tr>
<th>Owner</th>
<th>Years as Owner</th>
</tr>
</thead>
<tbody>
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</tr>
</tbody>
</table>

5(c) If the General Contractor is a **joint venture, partnership or other association**, provide the following for each member of the joint venture, each partner or other association member. (Attach additional pages if necessary)

<table>
<thead>
<tr>
<th>Name of Individual Or Entity</th>
<th>Principal Contact</th>
<th>Position</th>
<th>Years with Joint Venture/Partnership</th>
<th>% Ownership Interest</th>
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6. Has there been any change in ownership of the General Contractor during the last three years?

   (NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

   □ Yes □ No

   If “yes,” explain on a separate page.

7. Is the General Contractor a subsidiary, parent, holding company or affiliate of another construction firm?

   (NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

   □ Yes □ No

   If “yes,” explain on a separate page.
8. State the General Contractor’s gross revenues for each of the last three years:

<table>
<thead>
<tr>
<th>Year</th>
<th>Revenues</th>
</tr>
</thead>
<tbody>
<tr>
<td>2009</td>
<td>$</td>
</tr>
<tr>
<td>2010</td>
<td>$</td>
</tr>
<tr>
<td>2011</td>
<td>$</td>
</tr>
</tbody>
</table>

9. List all California contractor license numbers, classifications and expiration dates currently held by the General Contractor in the last five years:

<table>
<thead>
<tr>
<th>License Number</th>
<th>Trade Classification</th>
<th>Date Issued</th>
<th>Expiration Date</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

10. Has the General Contractor changed names or license numbers in the past five years?

   [ ] Yes   [ ] No

   If “yes,” explain on a separate page.

11. Has any owner, California State Contractor’s License Board (CSCLB) qualifier or corporate officer of the General Contractor operated as a contractor under any other name or license number (not listed in 9 above) in the last five years?

   [ ] Yes   [ ] No

   If “yes,” explain on a separate page.

12. Surety Information for General Contractor:
    (Surety must have a Best Rating of A or better)

    Bonding Co./Surety: ___________________________________________________________

    Surety Agent: _______________________________________________________________

    Agent Address: _______________________________________________________________

    Telephone No.: _______________________________________________________________
13. List all other sureties (name and full address) that have written bonds for the General Contractor during the last five years, including periods during which each wrote the bonds:

<table>
<thead>
<tr>
<th>Surety</th>
<th>Address</th>
<th>Periods of Coverage</th>
</tr>
</thead>
<tbody>
<tr>
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</tr>
</tbody>
</table>

D. INFORMATION ABOUT THE ARCHITECT OF RECORD

Definitions:

- “Architect of Record” means the licensed architect whose stamp will appear on Project Construction Documents.
- “Firm” means, in the case where the Architect of Record is not an individual doing business as a sole proprietorship, the firm (whether a sole proprietorship, corporation, partnership or other association) which employs the Architect of Record.

1. Provide the following information:

   Name: ________________________________________________________________
   License Number: ________________________________________________________
   Years in Practice: _____________________________________________________

2. If the Architect of Record or its Firm is different from that of the General Contractor, please answer the following:

   2(a) Date of company formation or incorporation: _________________________
   2(b) State of formation or incorporation: ________________________________
   2(c) How many persons does the Architect of Record or its
2(d) If the Architect of Record’s Firm is a **corporation**, provide the following information for each officer of the corporation.

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Years with Co.</th>
<th>% Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>CEO</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>President</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Secretary</td>
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<tr>
<td>Treasurer/CFO</td>
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<tr>
<td>Other (Title)</td>
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</tbody>
</table>

2(e) If the Architect of Record is an individual doing business as a **sole proprietorship**, complete the following:

<table>
<thead>
<tr>
<th>Owner</th>
<th>Years as Owner</th>
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</table>

2(f) If the Architect of Record’s Firm is a **joint venture, partnership or other association** (other than a corporation), provide the following information for each member of the joint venture, each partner or each other association member. (Attach additional pages if necessary)

<table>
<thead>
<tr>
<th>Name of Individual or Entity</th>
<th>Principal Contact</th>
<th>Position</th>
<th>Years with Joint Venture/Partnership/Other Association</th>
<th>% Ownership Interest</th>
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</table>
2(g) Has there been any change in ownership of the Architect of Record’s Firm during the last three years?

(Note: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

☐ Yes  ☐ No

If “yes,” explain on a separate page.

2(h) Is the Architect of Record’s Firm a subsidiary, parent, holding company or affiliate of another firm?

(Note: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of the Firm holds a similar position in another firm.)

☐ Yes  ☐ No

If “yes,” explain on a separate page.

2(i) Has the Architect of Record’s Firm changed names, corporate officers or owners in the past five years?

☐ Yes  ☐ No

If “yes,” explain on a separate page including reason for change.

2(j) Provide the following information for all known Architects who will be designing the project:

(Attach additional pages if necessary)

<table>
<thead>
<tr>
<th>Architect</th>
<th>License Number</th>
<th>Years in Practice</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>
### E. INFORMATION ABOUT THE STRUCTURAL ENGINEER

Definitions:
- “Structural Engineer” means the professional(s) providing professional services in the discipline of structural engineering, also known as the Principal Engineer.
- “Firm” shall mean, in the case where the Structural Engineer is not an individual doing business as a sole proprietorship, the firm (whether a sole proprietorship, corporation, partnership or other association) which employs the Structural Engineer.

1. Provide the following information:

   Name: __________________________________________

   License Number: __________________________________

   Engineering Discipline: ____________________________

   Years in Practice: ________________________________

2. If the Structural Engineer or its Firm is different from that of the General Contractor or Architect of Record, please answer the following:

   2(a) Date of company formation or incorporation: ________________

   2(b) State of formation or incorporation: ________________

   2(c) How many persons does the Structural Engineer or its Firm currently employ? ________________

<table>
<thead>
<tr>
<th>Architect</th>
<th>License Number</th>
<th>Years in Practice</th>
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</tbody>
</table>
2(d) If the Structural Engineer’s firm is a **corporation**, provide the following information for each officer of the corporation and individuals who own 10% or more of the corporate stock.

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Years with Co.</th>
<th>% Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>CEO</td>
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</tr>
<tr>
<td>President</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Secretary</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Treasurer</td>
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</tbody>
</table>

2(e) If the Structural Engineer is an individual doing business as a **sole proprietorship**, complete the following:

<table>
<thead>
<tr>
<th>Owner</th>
<th>Years as Owner</th>
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</tbody>
</table>

2(f) If the Structural Engineer’s firm is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, each partner or each other association member.

<table>
<thead>
<tr>
<th>Name of Individual or Entity</th>
<th>Principal Contact</th>
<th>Position</th>
<th>Years with Joint Venture/Partnership/Other Association</th>
<th>% Ownership Interest</th>
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</table>
2(g) Has there been any change in ownership of the Structural Engineer’s Firm during the last three years?
(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

☐ Yes    ☐ No
If “yes,” explain on a separate page.

2(h) Is the Structural Engineer’s Firm a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your Firm holds a similar position in another firm.)

☐ Yes    ☐ No
If “yes,” explain on a separate page.

2(i) Has the Structural Engineer’s firm changed names, corporate officers or owners in the past five years?

☐ Yes    ☐ No
If “yes,” explain on a separate page including reason for change.

F. INFORMATION ABOUT THE ELECTRICAL SUBCONTRACTOR

Attach copies of F. for each electrical subcontractor if multiple electrical subcontractors are being prequalified.

1. Name of Electrical Subcontractor: ________________________________

2. Date of company formation or incorporation: ________________________________

2. State of formation or incorporation: ________________________________

4. How many persons does the Electrical Subcontractor currently employ?: ________________________________
5(a) If the Electrical Subcontractor is a **corporation**, provide the following information for each officer of the corporation and individuals who own 10% or more of the corporate stock.

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Years with Co.</th>
<th>% Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>CEO</td>
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<tr>
<td>President</td>
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<td>Secretary</td>
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<tr>
<td>Treasurer</td>
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</tbody>
</table>

5(b) If the Electrical Subcontractor is a **sole proprietorship**, complete the following:

<table>
<thead>
<tr>
<th>Owner</th>
<th>Years as Owner</th>
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</table>

5(c) If the Electrical Subcontractor is a **joint venture or partnership**, provide the following information for each member of the joint venture or each partner:
6. Has there been any change in ownership of the Electrical Subcontractor during the last three years?

   (NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

   □ Yes □ No

   If “yes,” explain on a separate page.

7. Is the Electrical Subcontractor a subsidiary, parent, holding company or affiliate of another construction firm?

   (NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

   □ Yes □ No

   If “yes,” explain on a separate page.

8. State the Electrical Subcontractor’s gross revenues for each of the last three years:

   YEAR: ____________ YEAR: ____________ YEAR: ____________
   $ ____________ $ ____________ $ ____________

9. List all California contractor license numbers, classifications and expiration dates
currently held by the Electrical Subcontractor:

<table>
<thead>
<tr>
<th>License Number</th>
<th>Classification</th>
<th>Date Issued</th>
<th>Expiration Date</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>

10. Has the Electrical Subcontractor changed names, corporate officers, owners or license numbers in the past five years?

[ ] Yes [ ] No

If “yes,” explain: on a separate page.

11. Has any owner, CSLB qualifier or corporate officer of the Electrical Subcontractor operated as a contractor under any other name or license number (not listed in 9 above) in the last five years?

[ ] Yes [ ] No

If “yes,” explain on a separate page.

12. Surety Information for Electrical Subcontractor:

Bonding Co./Surety: _______________________________

Surety Agent: _______________________________

Agent Address: _______________________________

Telephone No.: _______________________________

13. List all other sureties (name and full address) that have written bonds for your firm during the last five years, including the periods during which each wrote the bonds:

<table>
<thead>
<tr>
<th>Surety</th>
<th>Address</th>
<th>Periods of Coverage</th>
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</thead>
<tbody>
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</table>
14. Attach a copy of the Project Manager/Superintendent’s resume. Include resume’s for any members from the firm that will be associated with this project.

G. INFORMATION ABOUT THE MECHANICAL SUBCONTRACTOR

Attach copies of G. for each mechanical subcontractor if multiple mechanical subcontractors are being prequalified.

1. Name of Mechanical Subcontractor: ______________________

2. Date of company formation or incorporation: ____________________

3. State of formation or incorporation: __________________________

4. How many persons does the Mechanical Subcontractor currently employ?: ____________________

5(a) If the Mechanical Subcontractor is a corporation, provide the following information for each officer of the corporation and individuals who own 10% or more of the corporate stock.

<table>
<thead>
<tr>
<th>Position</th>
<th>Name</th>
<th>Years with Co.</th>
<th>% Ownership</th>
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<tbody>
<tr>
<td>CEO</td>
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<td>President</td>
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<td>Secretary</td>
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<tr>
<td>Treasurer</td>
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</tbody>
</table>
5(b) If the Mechanical Subcontractor is a **sole proprietorship**, complete the following:

<table>
<thead>
<tr>
<th>Owner</th>
<th>Years as Owner</th>
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</table>

5(c) If the Mechanical Subcontractor is a **joint venture or partnership**, provide the following information for each member of the joint venture or each partner:

<table>
<thead>
<tr>
<th>Name of Individual or Entity</th>
<th>Principal Contact</th>
<th>Position</th>
<th>Years with Joint Venture/Partnership</th>
<th>% Ownership Interest</th>
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</tbody>
</table>
6. Has there been any change in ownership of the Mechanical Subcontractor during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

☐ Yes  ☐ No

If “yes,” explain on a separate page.

7. Is the Mechanical Subcontractor a subsidiary, parent, holding company or affiliate of another construction firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

☐ Yes  ☐ No

If “yes,” explain on a separate page.

8. State the Mechanical Subcontractor’s gross revenues for each of the last three years:

YEAR: ____________ YEAR: ____________ YEAR: ____________

$ ____________ $ ____________ $ ____________

9. List all California contractor license numbers, classifications and expiration dates currently held by the Mechanical Subcontractor:

<table>
<thead>
<tr>
<th>License Number</th>
<th>Classification</th>
<th>Date Issued</th>
<th>Expiration Date</th>
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</tbody>
</table>

10. Has the Mechanical Subcontractor changed names, corporate officers, owners or license...
numbers in the past five years?

☐ Yes  ☐ No

If “yes,” explain on a separate page.

11. Has any owner, CSLB qualifier or corporate officer of the Mechanical Subcontractor operated as a contractor under any other name or license number (not listed in 9 above) in the last five years?

☐ Yes  ☐ No

If “yes,” explain on a separate page.

12. Surety Information for Mechanical Subcontractor

Bonding Co./Surety: __________________________________________________________

Surety Agent: ______________________________________________________________

Agent Address: ___________________________________________________________

Telephone No.: ___________________________________________________________

13. List all other sureties (name and full address) that have written bonds for your firm during the last five years, including the dates during which each wrote the bonds:

<table>
<thead>
<tr>
<th>Surety</th>
<th>Address</th>
<th>Dates</th>
</tr>
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</tbody>
</table>

14. Attach a copy of the Project Manager/Superintendent’s resume. Include resume’s for any members from the firm that will be associated with this project.
II. ESSENTIAL REQUIREMENTS FOR THE DESIGN-BUILD ENTITY

This Section II is the first step in scoring the Design-Build Entity. It seeks information about various members of the Design-Build Entity, and consists of questions that must be answered correctly (per the table at the end of this Section) or the Design-Build Entity will be disqualified.

Definitions:

- The term “Design-Build Entity” as used in this Section II means Design-Build Entities wishing to be considered for receipt of the District’s Request for Proposal for the design and construction of the Project.

- The term “Associates” as used in this Section II means any of the following:

  - The current qualifiers for all current Contractors State License Board contracting licenses held by the General Contractor (or, Design-Build Entity Member where the question asks about a Design-Build Entity Member).

  - All current officers of the General Contractor (or, Design-Build Entity Member where the question asks about a Design-Build Entity Member), if it is a corporation.

  - All current partners of the General Contractor (or, Design-Build Entity Member where the question asks about a Design-Build Entity Member), if it is a partnership.

  - All current joint venturers of the General Contractor (or, Design-Build Entity Member where the question asks about a Design-Build Entity Member), if it is a joint venture.

1. Does the General Contractor possess a current California contractor’s license for the Project for which it intends to submit a proposal?

   □ Yes □ No

2. Does the General Contractor have a general liability insurance policy with a policy limit of at least $2,000,000 per occurrence and $4,000,000 aggregate?

   □ Yes □ No

   If yes, provide the following information. (Attach a separate page if more than one policy.)

   Insurance Company
Policy Number

_______________________________________________________________________
Policy limit per occurrence

_______________________________________________________________________
Aggregate policy limit

3. Does the General Contractor have a current workers’ compensation insurance policy as required by the State of California, or are legally self-insured?

☐ Yes ☐ No

If yes, provide the following information. (Attach a separate page if more than one policy)

_______________________________________________________________________
Insured

_______________________________________________________________________
Carrier

Policy Number

4. Has a copy of a reviewed financial statement from the most recent fiscal year with accompanying notes been attached for the General Contractor? (A reviewed financial statement with accompanying notes of a parent company guarantor may be substituted. A financial statement that is not reviewed is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.)

☐ Yes ☐ No

5. Has a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California been attached, which states that the General Contractor’s current bonding capacity is sufficient for the project for which you seek pre-qualification? (Statement must be from the surety company, not an agent or broker.)

☐ Yes ☐ No

6. Has any contractor license held by the General Contractor or any of its Associates been revoked or suspended, without a successful appeal for reinstatement, within the last five (5) years?

☐ Yes ☐ No
7. Has a surety firm completed a contract or paid for completion of a contract on behalf of any Design-Build Entity Member because of default within the last five (5) years?

☐ Yes    ☐ No

8. Has any Design-Build Entity Member or any of their employees (contractors, architects, engineers) or any of its Associates, or any of their employees ever been convicted of a crime involving the awarding of a contract for a government project, or the bidding or performance of a government contract within the last five (5) years?

☐ Yes    ☐ No

9. Do all architects and engineers of record who are expected to work on the project possess current California professional licenses for the services which they intend to provide?

☐ Yes    ☐ No

10. Is the General Contractor or Architect of Record covered by professional liability insurance policies with a policy limit of at least $2,000,000 per occurrence and $4,000,000 in the aggregate for work on a design-build contract?

☐ Yes    ☐ No

If yes, provide the names of the insurance companies, policy numbers, and policy limits.

**General Contractor:**

________________________________________________________________________

Insurance Company

________________________________________________________________________

Policy Number

________________________________________________________________________

Policy limit per occurrence

________________________________________________________________________

Aggregate policy limit
Architect:

_______________________________________________________________________

Insurance Company

_______________________________________________________________________

Policy Number

_______________________________________________________________________

Policy limit per occurrence

_______________________________________________________________________

Aggregate policy limit

11. Is the Structural Engineer covered by a professional liability insurance policy with a policy limit of at least $1,000,000 per occurrence and $2,000,000 aggregate for work on a design-build contract?

☐ Yes  ☐ No

If yes, provide the name of the insurance company, policy number, and policy limits. (Attach a separate page if more than one policy.

_______________________________________________________________________

Insurance Company

_______________________________________________________________________

Policy Number

_______________________________________________________________________

Policy limit per occurrence

_______________________________________________________________________

Aggregate policy limit

12. Has any professional license held by any architect who will provide services been revoked at any time in the last five years?

☐ Yes  ☐ No

13. Has any professional license held by any engineer who will provide services been revoked at any time in the last five years?

☐ Yes  ☐ No
14. Do agreements exist between the General Contractor and registered apprenticeship programs which have been approved by the California Apprenticeship Council and have graduated apprentices in each of the immediately preceding five years, for all apprenticable crafts which may be employed by the General Contractor on this project? Further, whether or not the General Contractor has such agreements in place, will the General Contractor ensure that all eligible subcontractors employed by the General Contractor will have in place for apprenticable crafts, an agreement with a registered apprenticeship program which has been approved by the California Apprenticeship Council and have graduated apprentices in each of the immediately preceding five years?

(This graduation requirement shall not apply to programs providing apprenticeship training for any craft that has not been deemed by the Department of Labor and the Department of Industrial Relations to be an apprenticable craft within the period of October, 1999 to October, 2001.)

☐ Yes  ☐ No

SECTION II. SCORING MATRIX:

<table>
<thead>
<tr>
<th>QUESTION NUMBER</th>
<th>YES</th>
<th>NO</th>
<th>SCORE</th>
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<td>TOTAL</td>
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</table>

* Disqualified if score is greater than zero (0)
III. Team Member QUESTIONS

The maximum possible score for all of Part III. is 50 points.

A. Team Member QUESTIONS FOR THE GENERAL CONTRACTOR

Each of the following Questions shall be scored. The General Contractor’s score will be included in the calculation of the Design-Build Entity’s total score.

Total points available Section III. A.: 34

Definitions:

● The term “Associates” as used in this Part A means all of the following:

  - The current qualifiers for all current Contractors State License Board contracting licenses held by the General Contractor.
  - All current officers of the General Contractor if it is a corporation.
  - All current partners of the General Contractor if it is a partnership.
  - All current joint venturers of the General Contractor if it is a joint venture.

Please answer the following questions as indicated:

General

A-1 How many years has the General Contractor been licensed in California?

Years: ________________

Financial ability to perform the work required

A-2. Is the General Contractor or any of its Associates currently the debtor in a bankruptcy case?

☐ Yes  ☐ No

If “yes,” indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number       Bankruptcy Court       Date Filed
A-3. Was the General Contractor or any of its Associates in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question A-2, above)

☐ Yes ☐ No

If “yes,” indicate the case number, bankruptcy court, and the date on which the petition was filed.

<table>
<thead>
<tr>
<th>Case Number</th>
<th>Bankruptcy Court</th>
<th>Date Filed</th>
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A-4. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the General Contractor or any of its Associates due to non-payment or contractor losses?

☐ Yes ☐ No

If “yes,” on a separate page give name of the insured, name the insurance carrier, the form of insurance, and the year of the refusal.

A-5. Please fill in the following blanks based on the General Contractor’s attached financial statement:

Current Assets: $________________
Current Liabilities: $________________
Total Net Worth: $________________
Current Ratio (Assets/Liabilities): __________________
Working Capital (Current Assets - Current Liabilities): $________________

History of Performance

A-6. Has the General Contractor or any of its Associates ever been terminated for default on a construction contract?

☐ Yes ☐ No

If “yes,” explain on a separate page.

A-7. In the last five years has the General Contractor or any of its Associates, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

☐ Yes ☐ No
If “yes,” explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

A-8. Has the General Contractor or any of its Associates ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

☐ Yes ☐ No

If “yes,” on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

(Note: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers, other contractors, or subcontractors. You need not include information about “pass-through” disputes in which the actual dispute is between a subcontractor and a project owner.)

A-9. In the past five years has any claim in excess of $50,000 been filed in court or arbitration against the General Contractor or any of its Associates concerning their work on a construction project?

☐ Yes ☐ No

If “yes,” on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

A-10. In the past five years has the General Contractor or any of its Associates made any claim in excess of $10,000 against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?

☐ Yes ☐ No

If “yes,” on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

A-11. Has the General Contractor or any of its Associates (or any manager of an Associate if the Associate is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?

☐ Yes ☐ No
If “yes,” explain on a separate page, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date and the basis for the finding.

A-12. Has there been more than one occasion during the last five years in which the General Contractor or any of its Associates was required to pay either back wages or penalties for failure to comply with the State's prevailing wage laws?

☐ Yes  ☐ No

If “yes,” attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed, the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

A-13. During the last five years, has there been more than one occasion in which the General Contractor or any of its Associates have been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

☐ Yes  ☐ No

If ”yes,” attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

Safety

A-14. List the General Contractor’s Experience Modification Rate (EMR) (California workers’ compensation insurance) for each of the past three premium years:

(NOTE: An Experience Modification Rate is issued to your firm annually by your workers’ compensation insurance carrier.)

Year: __________  EMR: __________
Year: __________  EMR: __________
Year: __________  EMR: __________

Is your firm party to an alternative dispute resolution system as provided for in Section 3201.5 of the Labor Code?

☐ Yes  ☐ No

A-15 Has Federal OSHA or CALOSHA cited and assessed penalties against the General Contractor or any of its Associates for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?
(NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)

☐ Yes  ☐ No

If “yes,” on separate page describe the citations, state the case number, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any.

A-16. Does the General Contractor have an injury prevention program instituted pursuant to California Occupational Safety and Health requirements? (A “No” answer is grounds for disqualification. There is no other or separate scoring of this answer.)

☐ Yes  ☐ No

A-17. How often does the General Contractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

Familiarity with and proximity to site

A-18. List the proximity (statute miles) of the Design-Build Entity’s office(s) where the work will be performed, in relation to the project location.

General Contractor management office for this project:

Statute miles: ________________
Years in this location: ________________

A-19. Has the General Contractor ever constructed a Community College project in the state of California?

☐ Yes  ☐ No

If “yes,” indicate the following (List no more than 6 projects. Include no more than one (1) project photo showing an exterior elevation for each. Repeat the table below for all projects):

How many Projects? _______
Project Name ____________________________________________
Project Description _______________________________________
Year Completed _________
B. TEAM MEMBER QUESTIONS FOR THE ARCHITECT OF RECORD

Each of the following Questions shall be scored. The Architect of Record’s score will be included in the calculation of the Design-Build Entity’s total score.

Total points available Section III. B.: 12

Definitions:

- “Architect of Record” means the licensed architect member of the Design-Build Entity whose stamp will appear on the Project Construction Documents.

- “Firm” shall mean, in the case where the Architect of Record is not an individual doing business as a sole proprietorship, the firm (whether a sole proprietorship, corporation, partnership or other association) which employs the Architect of Record.

Name of Architect of Record: __________________________________________

Name of Firm: ________________________________________________________

Please answer the following questions as indicated:

General

B-1. How many years has the Architect of Record been licensed and practicing in California?

   Years: ________________  CA License: __________________

Financial ability to perform the work required

B-2. Is the Architect or Record or the Firm currently the debtor in a bankruptcy case?

   ☐ Yes       ☐ No

   If “yes,” indicate the case number, bankruptcy court, and the date on which the petition was filed.

   __________________________________________   __________________________   ________________
   Case Number                                  Bankruptcy Court                 Date Filed

B-3. Was the Architect of Record or Firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question B-2, above)
If “yes,” indicate the case number, bankruptcy court, and the date on which the petition was filed.

<table>
<thead>
<tr>
<th>Case Number</th>
<th>Bankruptcy Court</th>
<th>Date Filed</th>
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</table>

**History of Performance**

**B-4.** In the last five years has the Architect of Record or the Firm, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

☐ Yes  ☐ No

If “yes,” explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

**B-5.** Has the Architect of Record or the Firm ever been denied an award of a public works contract based on a finding by a public agency that they were not properly qualified?

☐ Yes  ☐ No

If “yes,” on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

**B-6.** In the past five years has any claim in excess of $50,000 been filed in court or arbitration against the Architect of Record or the Firm concerning its architectural work on a project for which they were found at fault?

☐ Yes  ☐ No

If “yes,” on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

**B-7.** In the past five years has the Architect of Record or the Firm, made any claim in excess of $50,000 against a project owner concerning its architectural work on a project and filed that claim in court or arbitration?

☐ Yes  ☐ No

If “yes,” on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description
of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

B-8. In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Architect of Record or the Firm, based on non-payment or losses?

☐ Yes       ☐ No

If “yes,” on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

B-9. Has the Architect of Record or the Firm (or any manager of the Firm if the Firm is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes       ☐ No

If “yes,” on a separate page identify who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

Familiarity with and proximity to site

B-10. List the proximity (statute miles) of the Architect’s office(s) where the work will be performed, in relation to the project location.

Architect’s production office: Statute miles: ________________
Years in this location: Years: ________________

B-11. Has the Architect of Record ever designed a Community College project in the state of California?

☐ Yes       ☐ No

If “yes,” indicate the following (List no more than 6. Include no more than one (1) project photo showing an exterior elevation for each. Repeat ii) through iv) in the table below for all projects):

i) How many Projects? _________
ii) Project Name

iii) Project Description

iv) Year Completed _________
C. TEAM MEMBER QUESTIONS FOR THE STRUCTURAL ENGINEER

Each of the following Questions shall be scored. The Structural Engineer’s score will be included in the calculation of the Design-Build Entity’s total score.

Total points available Section III. C.: 4

Definitions:

5.1.1

- “Structural Engineer” shall mean only the Structural Engineer of Record.

  All other engineers not listed above, that are a part of the Design-Build Entity, must be properly licensed for this project in their discipline but need not complete this part of the questionnaire.

- “Firm” shall mean, in the case where the Structural Engineer is not an individual doing business as a sole proprietorship, the firm (whether a sole proprietorship, corporation, partnership or other association) which employs the Structural Engineer.

Name of Structural Engineer: ________________________________

Name of Firm: ________________________________

Please answer the following questions as indicated:

General

C-1. How many years has the Structural Engineer been licensed and practicing in California?

   Years: ____________   HI License: ________________

Financial ability to perform the work required

C-2. Is the Structural Engineer or Firm currently the debtor in a bankruptcy case?

   [ ] Yes  [ ] No

   If “yes,” indicate the case number, bankruptcy court, and the date on which the petition was filed.

   ____________________ ____________________ ____________________
   Case Number Bankruptcy Court Date Filed
C-3. Was the Structural Engineer or Firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question C-2, above)

☐ Yes ☐ No

If “yes,” indicate the case number, bankruptcy court, and the date on which the petition was filed.

__________________  ____________________  _______________
Case Number  Bankruptcy Court  Date Filed

History of Performance

C-4. In the past five years has any claim in excess of $50,000 been filed in court or arbitration against the Structural Engineer or the Firm concerning its engineering work on a project?

☐ Yes ☐ No

If “yes,” identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

C-5. In the last five years has the Structural Engineer or the Firm been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

☐ Yes ☐ No

If “yes,” explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

C-6. Has the Structural Engineer or the Firm ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

☐ Yes ☐ No

If “yes,” on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

C-7. In the past five years has the Structural Engineer or the Firm made any claim in excess of $50,000 against a project owner concerning engineering work on a project and filed that claim in court or arbitration?

☐ Yes ☐ No
If “yes,” on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

C-8. In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Structural Engineer or the Firm based on non-payment or losses?

☐ Yes  ☐ No

If “yes,” on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

C-9. Has the Structural Engineer or the Firm (or any manager of the Firm if the Firm is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes  ☐ No

If “yes,” on a separate page identify who was found liable or convicted, the name of the public agency, the court and case number, the date of the investigation and the grounds for the finding.

**Familiarity with and proximity to site**

C-10. List the proximity (statute miles) of the Structural Engineer’s office(s) where the work will be performed, in relation to the project location.

<table>
<thead>
<tr>
<th>Structural Engineer’s production office:</th>
<th>Statute miles: ____________</th>
</tr>
</thead>
<tbody>
<tr>
<td>Years in this location:</td>
<td>Years: _________________</td>
</tr>
</tbody>
</table>

C-11. Has the Structural Engineer ever designed a Community College project in the state of California?

☐ Yes  ☐ No

If “yes,” indicate the following (List no more than 6. Repeat steps ii) through iv) in the table below for all projects):

i) How many Projects? ____________
ii) Project Name __________________________________________
iii) Project Description ____________________________________
iv) Year Completed _______________
D. SCORED QUESTIONS FOR THE ELECTRICAL SUBCONTRACTOR(S)

The purpose of this section III (D) is to prequalify the Electrical Subcontractor(s).

Attach copies of III(D) for each Electrical Subcontractor if multiple (up to four) electrical subcontractors are being prequalified.

Definitions:

The term “Associates” shall mean all of the following:

- The current qualifiers for all current Contractors State License Board contracting licenses held by the contractor.
- All current officers of a contractor which is a corporation.
- All current partners of a contractor which is a partnership.
- All current joint venturers of the joint venture contractor which is seeking prequalification.

Subcontractor Name: _____________________________________________________________

D-1. How many years has the Electrical Subcontractor been licensed in California?

Years: ______________________

D-2. Is the Electrical Subcontractor or its associates currently the debtor in a bankruptcy case?

☐ Yes ☐ No

If “yes,” indicate the case number, bankruptcy court, and the date on which the petition was filed.

______________________________________  __________________________________  __________
Case Number                     Bankruptcy Court                     Date Filed

D-3. Was the Electrical Subcontractor or its associates in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question D-2, above)

☐ Yes ☐ No

If “yes,” indicate the case number, bankruptcy court, and the date on which the petition was filed.

______________________________________  __________________________________  __________
Case Number                     Bankruptcy Court                     Date Filed

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D-4. At any time in the last five years has the Electrical Subcontractor or its associates been assessed liquidated damages of more than $50,000 on a construction contract with either a public or private owner?

☐ Yes ☐ No

If yes, explain on a separate page, identifying all such projects by owner, owner’s address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

D-5. In the past five years has the Electrical Subcontractor or its associates ever defaulted on a construction contract?

☐ Yes ☐ No

If “yes,” explain on a separate page.

D-6. In the last five years has the Electrical Subcontractor or its associates been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

☐ Yes ☐ No

If “yes,” explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

D-7. Has the Electrical Subcontractor or its associates ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

☐ Yes ☐ No

If “yes,” on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

(Note: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers or other contractors.)
D-8. In the past five years has any claim in excess of $50,000 been filed in court or arbitration against the Electrical Subcontractor or its associates concerning their work on a construction project?

☐ Yes  ☐ No

If “yes,” on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

D-9. In the past five years has the Electrical Subcontractor or its associates made any claim in excess of $50,000 against a project owner or a general contractor concerning work on a project or payment for a contract and filed that claim in court or arbitration?

☐ Yes  ☐ No

If “yes,” on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

D-10. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Electrical Subcontractor or its associates due to non-payment or contractor losses?

☐ Yes  ☐ No

If “yes,” on a separate page give name of the insured, name the insurance carrier, the form of insurance, and the year of the refusal.

D-11. Has the Electrical Subcontractor or its associates ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?

☐ Yes  ☐ No

If “yes,” explain on a separate page, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date and the basis for the finding.

D-12. Has the Electrical Subcontractor or its associates ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes  ☐ No
If “yes,” explain on a separate page, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

D-13. Has the Electrical Subcontractor or its associates ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If “yes,” identify on a separate page the person or persons convicted, the court and case number, the crimes, and the year convicted.

D-14. During the last five years, has there ever been a period of time when the Electrical Subcontractor or its associates had no surety bond in place during a public construction project when one was required?

☐ Yes ☐ No

If yes, indicate the period during which no surety bonds were in place, name of entity without surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

D-15. Has CAL OSHA cited and assessed penalties against the Electrical Subcontractor or its associates for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?

(Note: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)

☐ Yes ☐ No

If “yes,” on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision.

D-16. Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Electrical Subcontractor or its associates in the past five years?

(Note: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes ☐ No

If “yes,” on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued,
owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

D-17. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Electrical Subcontractor, its associates, or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?

(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes  ☐ No

If “yes,” on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

D-18. How often does the Electrical Subcontractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

________________________________________

D-19. List the Electrical Subcontractor’s Experience Modification Rate (EMR) (California workers’ compensation insurance) for each of the past three premium years:

(NOTE: An Experience Modification Rate is issued to your firm annually by your workers’ compensation insurance carrier.)

<table>
<thead>
<tr>
<th>Year</th>
<th>EMR</th>
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If your EMR for any of these three years is or was 1.00 or higher you may attach a letter of explanation.

D-20. Within the last five years has there ever been a period when the Electrical Subcontractor or its associates had employees but was without workers’ compensation insurance or state-approved self-insurance?

☐ Yes  ☐ No

If “yes,” please explain the reason for the absence of workers’ compensation insurance on a separate page. If “No,” please provide a statement by your current workers’ compensation insurance carrier that verifies periods of workers’ compensation insurance coverage for the Electrical Subcontractor for the last five years. (If the Electrical Subcontractor has been in business for less than five years, provide a statement by your workers’ compensation
insurance carrier verifying continuous workers’ compensation insurance coverage for the period that your firm has been in business.)

D-21. Has there been more than one occasion during the last five years in which the Electrical Subcontractor or its associates was required to pay either back wages or penalties for failure to comply with the state’s prevailing wage laws?

☐ Yes  ☐ No

If “yes,” attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

D-22. During the last five years, has there been more than one occasion in which the Electrical Subcontractor or its associates have been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

☐ Yes  ☐ No

If “yes,” attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

D-23. If the Electrical Subcontractor operates its own State-approved apprenticeship program, provide the following information on a separate page:

(a) Identify the craft or crafts in which you provided apprenticeship training in the past year.

(b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).

(c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

D-24. At any time during the last five years, has the Electrical Subcontractor or its associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

☐ Yes  ☐ No

If “yes,” provide the date of the findings and attach a copy of the final decision.
Date of Findings

D-25. Do agreements exist between the Electrical Subcontractor and registered apprenticeship programs which have been approved by the California Apprenticeship Council and have graduated apprentices in the preceding five years, for all apprenticable crafts which may be employed by the Electrical Subcontractor on this project?

(This graduation requirement shall not apply to programs providing apprenticeship training for any craft that has not been deemed by the Department of Labor and the Department of Industrial Relations to be an apprenticable craft within the period of October, 1999 to October, 2001.)

☐ Yes  ☐ No

D-26. If seeking to pre-qualify for a Community College District project, has the Electrical Subcontractor performed on a contract to build public colleges in the last 5 years?

☐ Yes  ☐ No

If “yes,” how many? ___________________________
E. SCORED QUESTIONS FOR THE MECHANICAL SUBCONTRACTOR(S)

The purpose of this section III (D) is to prequalify the Mechanical Subcontractor(s).

Attach copies of III(E) for each Mechanical Subcontractor if multiple (up to four) Mechanical Subcontractors are being prequalified.

Definitions:

The term “Associates” shall mean all of the following:

- The current qualifiers for all current Contractors State License Board contracting licenses held by the contractor.
- All current officers of a contractor which is a corporation.
- All current partners of a contractor which is a partnership.
- All current joint venturers of the joint venture contractor which is seeking prequalification.

Subcontractor Name: __________________________________________________________

E-1. How many years has the Mechanical Subcontractor been licensed in California?

   Years: ____________________

E-2. Is the Mechanical Subcontractor or its associates currently the debtor in a bankruptcy case?

   □ Yes          □ No

   If “yes,” indicate the case number, bankruptcy court, and the date on which the petition was filed.

   ___________________________  ___________________________  ___________________________
   Case Number                   Bankruptcy Court                 Date Filed

E-3. Was the Mechanical Subcontractor or its associates in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question E-2, above)

   □ Yes          □ No

   If “yes,” indicate the case number, bankruptcy court, and the date on which the petition was filed.

   ___________________________  ___________________________  ___________________________
   Case Number                   Bankruptcy Court                 Date Filed
E-4. At any time in the last five years has the Mechanical Subcontractor or its associates been assessed liquidated damages of more than $50,000 on a project under a construction contract with either a public or private owner?

☐ Yes ☐ No

If yes, explain on a separate page, identifying all such projects by owner, owner’s address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

E-5. In the last five years has the Mechanical Subcontractor or its associates ever defaulted on a construction contract?

☐ Yes ☐ No

If “yes,” explain on a separate page.

E-6. In the last five years has the Mechanical Subcontractor or its associates been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

☐ Yes ☐ No

If “yes,” explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

E-7. Has the Mechanical Subcontractor or its associates ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

☐ Yes ☐ No

If “yes,” on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

(NOTE: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers, or other contractors.

E-8. In the past five years has any claim in excess of $50,000 been filed in court or arbitration against the Mechanical Subcontractor or its associates concerning their work on a construction project?

☐ Yes ☐ No
If “yes,” on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

E-9. In the past five years has the Mechanical Subcontractor or its associates made any claim in excess of $50,000 against a project owner or a general contractor concerning work on a project or payment for a contract and filed that claim in court or arbitration?

☐ Yes ☐ No

If “yes,” on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

E-10. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Mechanical Subcontractor or its associates due to non-payment or contractor losses?

☐ Yes ☐ No

If “yes,” on a separate page give name of the insured, name the insurance carrier, the form of insurance, and the year of the refusal.

E-11. Has the Mechanical Subcontractor or its associates ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?

☐ Yes ☐ No

If “yes,” explain on a separate page, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date and the basis for the finding.

E-12. Has the Mechanical Subcontractor or its associates ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If “yes,” explain on a separate page, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

E-13. Has the Mechanical Subcontractor or its associates ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
☐ Yes ☐ No

If “yes,” identify on a separate page the person or persons convicted, the court and case number, the crimes, and the year convicted.

E-14. During the last five years, has there ever been a period of time when the Mechanical Subcontractor or its associates had no surety bond in place during a public construction project when one was required?

☐ Yes ☐ No

If yes, indicate the period during which no surety bonds were in place, name of entity without surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

E-15. Has CAL OSHA cited and assessed penalties against the Mechanical Subcontractor or its associates for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?

(Note: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)

☐ Yes ☐ No

If “yes,” on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision.

E-16. Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Mechanical Subcontractor or its associates in the past five years?

(Note: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes ☐ No

If “yes,” on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

E-17. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Mechanical Subcontractor, its associates, or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?
(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes    ☐ No

If “yes,” on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

E-18. How often does the Mechanical Subcontractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

________________________________________

E-19. List the Mechanical Subcontractor’s Experience Modification Rate (EMR) (California workers’ compensation insurance) for each of the past three premium years:

(NOTE: An Experience Modification Rate is issued to your firm annually by your workers’ compensation insurance carrier.)

Year: ___________    EMR: ___________
Year: ___________    EMR: ___________
Year: ___________    EMR: ___________

If your EMR for any of these three years is or was 1.00 or higher you may attach a letter of explanation.

E-20. Within the last five years has there ever been a period when the Mechanical Subcontractor or its associates had employees but was without workers’ compensation insurance or state-approved self-insurance?

☐ Yes    ☐ No

If “yes,” please explain the reason for the absence of workers’ compensation insurance on a separate page. If “No,” please provide a statement by your current workers’ compensation insurance carrier that verifies periods of workers’ compensation insurance coverage for the Mechanical Subcontractor for the last five years. (If the Mechanical Subcontractor has been in business for less than five years, provide a statement by your workers’ compensation insurance carrier verifying continuous workers’ compensation insurance coverage for the period that your firm has been in business.)

E-21. Has there been more than one occasion during the last five years in which the Mechanical Subcontractor or its associates was required to pay either back wages or penalties for failure to comply with the state’s prevailing wage laws?
Yes ☐ No ☐

If ”yes,” attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

E-22. During the last five years, has there been more than one occasion in which the Mechanical Subcontractor or its associates have been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

☐ Yes ☐ No

If ”yes,” attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

E-23. If the Mechanical Subcontractor operates its own State-approved apprenticeship program, provide the following information on a separate page:

(a) Identify the craft or crafts in which you provided apprenticeship training in the past year.

(b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).

(c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

E-24. At any time during the last five years, has the Mechanical Subcontractor or its associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

☐ Yes ☐ No

If “yes,” provide the date of the findings and attach a copy of the final decision.

____________________________________________
Date of Findings

E-25. Do agreements exist between the Mechanical Subcontractor and registered apprenticeship programs which have been approved by the California Apprenticeship Council and have
graduated apprentices in the preceding five years, for all apprenticable crafts which may be employed by the Mechanical Subcontractor on this project?

(This graduation requirement shall not apply to programs providing apprenticeship training for any craft that has not been deemed by the Department of Labor and the Department of Industrial Relations to be an apprenticable craft within the period of October, 1999 to October, 2001.)

☐ Yes    ☐ No

E-26. If seeking to pre-qualify for a Community College District project, has the Mechanical Subcontractor performed on a contract to build public colleges in the last 5 years?

☐ Yes    ☐ No

If “yes,” how many? __________________________
IV. PERSONNEL, PROJECTS COMPLETED AND REFERENCES

The maximum possible score for all of Part IV is 50 points.

SCORING: Each of the following Questions or Requests for Information shall be scored. The score will be included in the calculation of the Design-Build Entity’s total score.

- The term “Design-Build” as used in this Section IV means only design and construction of a project under a single contract. If there was not a single contract for both design and construction of the referenced project, it does not qualify as design-build.

- The purpose of this section is to determine the following:
  - Material, equipment, facility, and personnel resources and expertise available, or the ability to obtain them, in order to meet contractual requirements.
  - Experience and Ability – The experience and ability of the individual Design-Build Entity Member companies and the experience of the Design-Build Entity as a team.
  - Project Staff – The credentials, experience and committee’s evaluation of each key person’s ability to perform the work.
  - Knowledge of the Site and Local conditions – The Design-Build Entity Member’s experience with similar sites and in the local area.
  - References for the determination of a satisfactory record of performance and integrity.
REFERENCES AND INTERVIEWS: The following procedures shall apply if the District elects, in its discretion, to conduct interviews of references provided in response to the Questions set forth in this Part IV:

1. Interviews will be conducted under the supervision of or by a member of the Pre-Qualification Selection Committee. No action is necessary by the Design-Build Entity Members if not notified by the District.

2. List at least two (2) References for each project in the order that you wish them to be contacted. Interviews will be with one of the contact persons for the Project identified in your response. **It is the Design-Build Entity’s responsibility to verify the latest and current contact information for all references.** If the information for the contact person for the Owner is current and verifiable but such contact person is not available or does not respond within 24 hours, then the interview will be conducted with second reference. If neither reference can be contacted within 48 hours, the Reference Interview shall receive a score of zero (0).

3. Identical questions from a standardized list of questions shall be asked of each reference. If the person interviewed states that he/she is unable to answer the Question, then another contact person identified in the response will be contacted in the order stated in Paragraph 2, above. If none of the contact persons contacted is able to answer a Question, then the Question shall receive a score of zero (0).

4. Failure to provide at least two references shall result in disqualification. Interviews conducted of those references listed will be scored and evaluated. A Design-Build Entity receiving a failing score from more than two (2) references listed in its responses may be grounds for disqualification of the Design-Build Entity. Points generated from individual interviews of references will be included in the Design-Build Entity’s total score.

5. The District reserves the right to check other references for Design-Build Entities in general, whether or not such references are listed by Design-Build Entities.
A. PERSONNEL AND REFERENCES

Total points available Section IV. A.: 25

1. List the following key personnel who will be assigned to this Project for the Design-Build Entity:

   General Contractor Executive in charge of Project

   General Contractor Project Manager

   General Contractor Superintendent

   Architect Principal in charge of Project

   Architect of Record

   Structural Engineer of Record

2. For each of the above provide a resume containing the individual’s overall experience, education, licensing and other general information as well as the individual’s: i) California school design and/or construction experience, and ii) design-build project design and/or construction experience. Include at least two (2) references for each of the projects identified for each individual. These individuals are to be committed to the roles indicated for the project and may not be subject to substitution without prior written approval of, and at the sole discretion of the District. Any persons so substituted must possess qualifications equal to or better than the individuals who may be prequalified.

3. Provide an Organization Chart for the project indicating, at a minimum, the roles and relationships of the above named individuals.
B. DESIGN-BUILD AND SCHOOL PROJECTS AND REFERENCES:

Total points available Section IV. B.: 25

1. List no more than five (5) new school facilities projects in California constructed by the General Contractor in the last 10 years. (California Community College experience preferred)

Include no more than one (1) project photo showing an exterior elevation

Names and references must be current and verifiable. (Copy for additional sheets)

Project Name: ________________________________

Location: ________________________________

Reference 1 - Owner (Contact Person) Name and Current Telephone Number:
____________________________________________________________________________

Reference 2 - Architect or Other (Contact Person) Name and Current Telephone Number:
____________________________________________________________________________

Description of Project, Scope of Work Performed: ________________________________

____________________________________________________________________________

____________________________________________________________________________

Type of Procurement (D-B-B, D-B, CM@R, L-LB, Etc.) ______________________________

Value of Original Contract: ________________________________

Value of Contract including change orders: ________________________________

Original Scheduled Completion Date: ________________________________

Time Extensions Granted (number of calendar days): ________________________________

Actual Date of Completion: ________________________________

Glossary of Abbreviations:

D-B-B  Design-Bid-Build
D-B  Design-Build
CM@R  Construction Management at Risk
L-LB  Lease-Lease Back
2. List no more than five (5) existing school facilities renovation projects in California constructed by the General Contractor in the last 10 years. (California Community College experience preferred)

Include no more than one (1) project photo showing an exterior elevation

Names and references must be current and verifiable. (Copy for additional sheets)

Project Name: _______________________________________________________________

Location: ___________________________________________________________________

Reference 1 - Owner (Contact Person) Name and Current Telephone Number:
___________________________________________________________________________

Reference 2 - Architect or Other (Contact Person) Name and Current Telephone Number:
___________________________________________________________________________

Description of Project, Scope of Work Performed: _________________________________
____________________________________________________________________________
____________________________________________________________________________

Type of Procurement (D-B-B, D-B, CM@R, L-LB, Etc.) ___________________________

Value of Original Contract: _____________________________________________________

Value of Contract including change orders: _______________________________________

Original Scheduled Completion Date: _____________________________________________

Time Extensions Granted (number of calendar days): _______________________________

Actual Date of Completion: _____________________________________________________

Glossary of Abbreviations:

D-B-B       Design-Bid-Build
D-B         Design-Build
CM@R       Construction Management at Risk
L-LB        Lease-Lease Back
3. List no more than five (5) new school facilities projects in California designed by the Architect of Record in the last 10 years. (California Community College experience preferred)

Include no more than one (1) project photo showing an exterior elevation

Names and references must be current and verifiable. (Copy for additional sheets)

Project Name: ________________________________

Location: ________________________________

Reference 1 - Owner (Contact Person) Name and Current Telephone Number:
______________________________________________

Reference 2 - Construction Manager or Other (Contact Person) Name and Current Telephone Number:
______________________________________________

Description of Project, Scope of Work Performed: ________________________________________
______________________________________________
______________________________________________

Type of Procurement (D-B-B, D-B, CM@R, L-LB, Etc.) ________________________________

Value of Original Contract: ________________________________

Value of Contract including change orders: ________________________________

Original Scheduled Completion Date: ________________________________

Time Extensions Granted (number of calendar days): ________________________________

Actual Date of Completion: ________________________________

Glossary of Abbreviations:

D-B-B Design-Bid-Build
D-B Design-Build
CM@R Construction Management at Risk
L-LB Lease-Lease Back
4. List no more than five (5) existing school facilities renovation projects in California designed by the Architect of Record in the last 10 years. (California Community College experience preferred)

Include no more than one (1) project photo showing an exterior elevation

Names and references must be current and verifiable. (Copy for additional sheets)

Project Name: ______________________________________________________________

Location: ________________________________________________________________

Reference 1 - Owner (Contact Person) Name and Current Telephone Number:
______________________________________________________________

Reference 2 - Construction Manager or Other (Contact Person) Name and Current Telephone Number:
______________________________________________________________

Description of Project, Scope of Work Performed: ________________________________
_________________________________________________________________________
_________________________________________________________________________

Type of Procurement (D-B-B, D-B, CM@R, L-LB, Etc.) ____________________________

Value of Original Contract: ________________________________________________

Value of Contract including change orders: ________________________________

Original Scheduled Completion Date: _______________________________________

Time Extensions Granted (number of calendar days): _________________________

Actual Date of Completion: _______________________________________________

Glossary of Abbreviations:

D-B-B  Design-Bid-Build
D-B  Design-Build
CM@R  Construction Management at Risk
L-LB  Lease-Lease Back
5. List no more than five (5) design-build projects the General Contractor, as part of a design-build team, designed and built anywhere in the United States in the last 10 years. (Community College projects preferred) (Projects with Architect of Record preferred)

Include no more than one (1) project photo showing an exterior elevation

Names and references must be current and verifiable. (Copy for additional sheets).

Number of Projects: _____________.

Project Name: ______________________________________________________________

Location: ____________________________________________________________________

Reference 1 - Owner (Contact Person) Name and Current Telephone Number:
____________________________________________________________________________

Reference 2 - Architect or Other (Contact Person) Name and Current Telephone Number:
____________________________________________________________________________

Description of Project, Scope of Work Performed: ____________________________________
____________________________________________________________________________
____________________________________________________________________________

Type of Procurement (D-B-B, D-B, CM@R, L-LB, Etc.) ______________________________

Value of Original Contract: ______________________________________________________

Value of Contract including change orders: __________________________________________

Original Scheduled Completion Date: _____________________________________________

Time Extensions Granted (number of calendar days): _________________________________

Actual Date of Completion: ______________________________________________________

Glossary of Abbreviations:
D-B-B Design-Bid-Build
D-B Design-Build
CM@R Construction Management at Risk
L-LB Lease-Lease Back
6. List no more than five (5) design-build projects the Architect of Record, as part of a design-build team, designed anywhere in the United States, including U.S. Pacific Territories, in the last 10 years. (Public schools preferred) (Projects with General Contractor preferred)

Include no more than one (1) project photo showing an exterior elevation

Names and references must be current and verifiable. (Copy for additional sheets)

Project Name: _______________________________________________________________

Location: ___________________________________________________________________

Owner Name and Current Telephone Number: _________________________________
____________________________________________________________________________

General Contractor (contact person) Name and Current Telephone Number:
____________________________________________________________________________

Construction Manager (Contact Person) Name and Current Telephone Number:
____________________________________________________________________________

Description of Project, Scope of Work Performed: ________________________________
____________________________________________________________________________
____________________________________________________________________________

Type of Procurement (D-B-B, D-B, CM@R, L-LB, Etc.) ______________________________

Value of Original Contract: ______________________________________________________

Value of Contract including change orders: _________________________________

Original Scheduled Completion Date: _____________________________________________

Time Extensions Granted (number of calendar days): ______________________________

Actual Date of Completion: _____________________________________________________

Glossary of Abbreviations:

D-B-B Design-Bid-Build
D-B Design-Build
CM@R Construction Management at Risk
L-LB Lease-Lease Back
IV. CERTIFICATION

NOTE: Authorized person(s) with authority to execute this Certification shall sign this Certification on behalf of each of the entities (or persons) that is a constituent member of the Design-Build Entity that is the proposer seeking to be pre-qualified by the District.

Make additional copies this certification page as needed to provide certification for each such person or legal entity.

I, the undersigned ____________________________________________, certify and declare that I acknowledge receipt of addenda (insert numbers), and have read all the foregoing answers to this Pre-Qualification Questionnaire; that all responses are correct and complete of my own knowledge and belief. I further declare under penalty of perjury under the laws of the State of California, that the foregoing is true and correct.

______________________________________________________________
(Signature)

______________________________________________________________
(Printed name)

______________________________________________________________
(Place of Execution)

______________________________________________________________
(Date)

Name of the entity (or person)* on whose behalf this Certificate is signed

*Name of an entity (or person) that is a constituent member of the Design-Build Entity.

END PRE-QUALIFICATION QUESTIONNAIRE
ATTACHMENT NO. 2

DESCRIPTION AND PROGRAM SUMMARY - DRAFT

FOR

A 400 DESIGN-BUILD PROJECT AT
IRVINE VALLEY COLLEGE

SOCCCD JOB NO. – 307

IRVINE, CALIFORNIA

AUGUST 01, 2012
Irvine Valley College A400 Design-Build Project Description-Draft

The Irvine Valley College A400 Renovation and Expansion Project ("Project") consists of the demolition/hazardous material abatement/removal of existing construction, leaving the structural system intact. Also included is the removal and replacement of site utilities and appurtenances and other improvements within the Limits of Work. The renovation portion of the Project is approximately 12,000 square feet ("SF"). The new addition portion of the Project is approximately 12,000 SF. Work will include associated site work, utilities, and parking lot improvements necessary to address accessibility compliance. Also included is landscape and irrigation, exterior walkways, sidewalk, curb and gutter improvements as required, accessible parking as required by the Division of the State Architect (DSA), hardscape and landscape of all disturbed areas to interface with existing and adjacent campus improvements, and exterior and interior signage. The project mechanical and electrical shall be new integrated systems throughout renovated and expansion areas.

The A400 Building is located on the campus per the 2011 Education and Facilities Master Plan (EFMP) available at http://www.socccd.edu/about/documents/2011SOCCCDMPVolume5_IVCFMP.pdf. Massing shown on the EFMP is diagrammatic in nature only and does not intend to demonstrate that anticipated end configuration for this project. The Project consists roughly of the program elements per the Draft Design-Build Space program provided herein. The building must achieve 67% or greater efficiency for a total maximum gross SF of 24,000 SF.

Furniture, fixtures and movable equipment services are a part of the work. However, actual costs for these items are not included within the advertised project budget.

The LEED checklist will be used during design and construction to maximize sustainable design (with the objective of a Gold standard though certification may not be required). Waterproof testing, commissioning and BIM document turn-over will also be included in the project requirements.

The timeframe for this project is anticipated with a construction completion in April 2015. Emergency vehicle and service access, and pedestrian circulation within the campus must be maintained at all times.

The Design-Build Entity will be required to collaborate with Owner’s representatives to ensure continuity of District and College direction for design and technical standards and overall desired design elements which will be further defined in the Request for Proposal.

Logistics for this project will be challenging with limited lay down area due to ongoing campus operations. Irvine Valley College is an existing campus operating at full capacity with ongoing classes M-S 7:00 AM-10:00 PM. Work hours will be in conformance within City of Irvine allowable parameters for construction work.
**Draft Design-Build Space Program – Assignable Areas**

Actual areas of individual spaces may not vary from those shown below by more than 2%. However, there shall be no deviation from the total area of all spaces within a given Room Type.

<table>
<thead>
<tr>
<th>No. of Spaces</th>
<th>Space Use</th>
<th>Room Type</th>
<th>Area (SF)</th>
<th>Total Area (SF)</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>30-seat Classroom</td>
<td>Classroom</td>
<td>700</td>
<td>4,900</td>
</tr>
<tr>
<td>6</td>
<td>40-seat Classroom</td>
<td>Classroom</td>
<td>800</td>
<td>4,800</td>
</tr>
<tr>
<td>2</td>
<td>45-seat Classroom</td>
<td>Classroom</td>
<td>900</td>
<td>1,800</td>
</tr>
<tr>
<td></td>
<td><strong>TOTAL AREA, CLASSROOMS:</strong></td>
<td></td>
<td></td>
<td>11,500</td>
</tr>
<tr>
<td>1</td>
<td>Physical Geography/</td>
<td>Laboratory</td>
<td>1,500</td>
<td>1,500</td>
</tr>
<tr>
<td></td>
<td>Physical Anthropology Lab</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>TOTAL AREA, LABORATORIES:</strong></td>
<td></td>
<td></td>
<td>1,500</td>
</tr>
<tr>
<td>1</td>
<td>Honors Study Area</td>
<td>Library</td>
<td>470</td>
<td>470</td>
</tr>
<tr>
<td>1</td>
<td>Co-curricular Room</td>
<td>Library</td>
<td>400</td>
<td>400</td>
</tr>
<tr>
<td></td>
<td><strong>TOTAL AREA, LIBRARY:</strong></td>
<td></td>
<td></td>
<td>870</td>
</tr>
<tr>
<td>12</td>
<td>Faculty Office (shared)</td>
<td>Office</td>
<td>140</td>
<td>1,680</td>
</tr>
<tr>
<td>1</td>
<td>Dean's Office</td>
<td>Office</td>
<td>170</td>
<td>170</td>
</tr>
<tr>
<td>1</td>
<td>Support Staff office</td>
<td>Office</td>
<td>170</td>
<td>170</td>
</tr>
<tr>
<td>1</td>
<td>Staff Lounge</td>
<td>Office</td>
<td>350</td>
<td>350</td>
</tr>
<tr>
<td>1</td>
<td>Adjunct Faculty Office/Workspace</td>
<td>Office</td>
<td>275</td>
<td>275</td>
</tr>
<tr>
<td>1</td>
<td>Honors Director Office</td>
<td>Office</td>
<td>100</td>
<td>100</td>
</tr>
<tr>
<td>1</td>
<td>Conference Room</td>
<td>Office</td>
<td>190</td>
<td>190</td>
</tr>
<tr>
<td>1</td>
<td>Workroom</td>
<td>Office</td>
<td>95</td>
<td>95</td>
</tr>
<tr>
<td></td>
<td><strong>TOTAL AREA, OFFICES:</strong></td>
<td></td>
<td></td>
<td>3,030</td>
</tr>
<tr>
<td></td>
<td><strong>TOTAL ASSIGNABLE AREA:</strong></td>
<td></td>
<td></td>
<td>16,900</td>
</tr>
</tbody>
</table>

**Draft Design-Build Space Program – Non-assignable Areas**

Square-footages shown are approximate. If an area is designated “AR”, this area shall be sized as required for the program need. The total of all non-assignable areas shall not exceed 33% of total gross building area.

<table>
<thead>
<tr>
<th>No. of Spaces</th>
<th>Space Use</th>
<th>Area (SF)</th>
<th>Total Area (SF)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Women’s Restroom</td>
<td>400</td>
<td>400</td>
</tr>
<tr>
<td>1</td>
<td>Men’s Restroom</td>
<td>350</td>
<td>350</td>
</tr>
<tr>
<td>1</td>
<td>Electrical Room</td>
<td>AR</td>
<td>AR</td>
</tr>
<tr>
<td>1</td>
<td>Intermediate Distribution Frame Room</td>
<td>AR</td>
<td>AR</td>
</tr>
<tr>
<td>1</td>
<td>Custodial Room</td>
<td>AR</td>
<td>AR</td>
</tr>
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<td>Interior Corridor/Circulation</td>
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ATTACHMENT NO. 3

RULES FOR RFQ INTERVIEW

FOR

A 400 DESIGN-BUILD PROJECT

AT

IRVINE VALLEY COLLEGE

SOCCCD JOB NO. – 307

IRVINE, CALIFORNIA

AUGUST 01, 2012
RULES FOR RFQ INTERVIEWS

1. At the Interviews, the Design-Build Entity shall be represented by a person or persons representing the Design-Build Entity who is/are familiar with all aspects of the RFQ submittal. At least one person acting on behalf of the Design-Build Entity shall have the power to speak with authority on behalf of the Design-Build Entity in regard to the RFQ submittal without requiring further authorization of persons not present in the Interviews. No firm shall be represented and no person shall be present in the Interviews that are not directly interested and involved in the outcome of the RFQ process. Observers will not be permitted.

2. The date, time and place for Interviews, if conducted, shall be schedule by the District and notice thereof given to each Design-Build Entity. Interviews will consist of post-evaluation discussions (i.e. discussions held after evaluation and scoring of the RFQ submittals).

3. The length of interviews may be dictated by the subject matters discussed, but shall not be more than 60 minutes in length. Each Design Build Entity will have 25 minutes to present with a 20 minutes of question and answer period at the end.

4. There shall be no disclosure of information that is determined confidential by public contract code.

5. District shall have the right to disclose and discuss with any or all Design-Build Entities submittal information that does not constitute “confidential” information as defined by public contract code.

6. Design Build Entities shall be accorded fair and equal treatment provided, however, that this requirement shall not be interpreted as requiring that the communications that occur during interviews be limited to identical subject matters for all Design Build Entities with whom interviews are conducted.

7. Communications during the interview will not include exchanges, in the nature of “bargaining”, but may include an information exchange between the Design Build Entities and the Selection Committee on any aspect of the RFQ submittal.
ATTACHMENT NO. 4

DESIGN-BUILD CONTRACT AND GENERAL CONDITIONS

FOR

A 400 DESIGN-BUILD PROJECT
AT
IRVINE VALLEY COLLEGE

SOC CCD JOB NO. – 307

IRVINE, CALIFORNIA

AUGUST 01, 2012
FORTHCOMING IN ADDENDUM NO. 1

END REQUEST FOR QUALIFICATIONS